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Estimation of hydrogen production in genetically modified *E. coli* fermentations using an artificial neural network

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1 **Abstract**

2 Biological hydrogen production is an active research area due to the importance of this gas as
3 an energy carrier and the advantages of using biological systems to produce it. A cheap and
4 practical on-line hydrogen determination is desired in these processes. In this study, an artificial
5 neural network (ANN) was developed to estimate the hydrogen production in fermentative
6 processes. A back propagation neural network (BPNN) of one hidden layer with 12 nodes was
7 selected. The BPNN training was done using the conjugated gradient algorithm and on-line
8 measurements of dissolved CO₂, pH and oxidation-reduction potential during the fermentations
9 of cheese whey by *Escherichia coli* $\Delta hycA \Delta lacI$ (WDHL) strain with or without pH control.
10 The correlation coefficient between the hydrogen production determined by gas
11 chromatography and the hydrogen production estimated by the BPNN was 0.955. Results
12 showed that the BPNN successfully estimated the hydrogen production using only on-line
13 parameters in genetically modified *E. coli* fermentations with or without pH control. This
14 approach could be used for other hydrogen production systems.

15

16 **Keywords:** back propagation neural network, dissolved CO₂, hydrogen, redox potential, pH,
17 cheese whey.

18

19 **1. Introduction**

20 Hydrogen is considered as a good choice as future energy carrier since it has the highest energy
21 content per weight unit and its utilization either via combustion or fuel cells results in pure
22 water [1]. Among the hydrogen production processes, the biological production is an attractive
23 method because it is carried out at ambient pressure and temperature, therefore consumes less
24 energy than chemical or electrochemical processes [2]. The fermentative hydrogen production
25 is a promising method since it has the higher production rate; it does not need light and utilizes
26 a wide range of carbon sources [2-5]. In the dark fermentation, several microorganisms can use
27 carbohydrate rich substrates. From the enterobacteria, *Escherichia coli* is the main
28 microorganism used for studies of hydrogen production, since its genetic and metabolism are
29 well documented [6-12]. Under anaerobic conditions and in absence of external electron
30 acceptors *E. coli* converts sugars to pyruvate that may be converted to lactate or broken into
31 formate and acetyl-coenzyme A (acetyl-CoA), which is converted to acetate or ethanol,
32 whereas formate is metabolized to hydrogen and CO₂ (Fig. 1).

33

34 The on-line hydrogen determination is strongly desired to establish feedback or feed forward
35 control algorithms. However, the most common method to determine hydrogen is by gas
36 chromatography (GC) off-line [13-19]. This method is very useful, accurate and sensitive to
37 determine hydrogen, but requires equipment and specific installations. Another method used is
38 the gas displacement using a solution of NaOH, however the solution could be saturated and
39 confirmation by GC is still needed [20-24]. Massanet-Nicolau *et al.* [25] measured the
40 composition of the gas produced by the fermentation of sewage biosolids with hydrogen, CO₂
41 and CH₄ sensors. Ferchichi *et al.* [26] used a solution of 30% of KOH to remove CO₂, and the

42 residual gas was channeled into a bubble counter for the measurement of hydrogen and it was
43 confirmed by a specific hydrogen sensor. The counter was linked to a computer and the on-line
44 hydrogen production was recorded.

45 Until now, there are few parameters for on-line monitoring in bioreactors, the most frequents
46 are temperature, pH, oxidation-reduction potential, dissolved oxygen and dissolved CO₂.
47 Therefore, a useful approach is the use of mathematical models with these on-line
48 determinations for the estimation of the fermentative products. For this purpose, the Artificial
49 neural networks (ANNs) have been successfully used, since they are based on the connectivity
50 of biological neurons that have an incredible capability for emulation, analysis, prediction,
51 association and adaptation [6, 27]. For instance, Poirazi *et al.* [28] used pH, temperature and
52 NaCl concentration to predict the maximum specific growth rate and bacteriocin production
53 using feed-forward ANNs in *Streptococcus macedonicus* ACA-DC 198 cultures. Chen *et al.*
54 [27] used the dissolved oxygen, feed rate and liquid volume to determine the biomass
55 concentration in *Saccharomyces cerevisiae* cultures using a recurrent neural network.
56 Escalante-Minakata *et al.* [29] used the oxidation-reduction potential and a back propagation
57 neural network to estimate the ethanol and biomass production in non-axenic cultures.

58 The aim of this work is to develop an ANN to estimate the hydrogen production in genetically
59 modified *E. coli* fermentations based on the on-line measurements of the oxidation-reduction
60 potential, pH, and dissolved CO₂.

61

62 **2. Materials and methods**

63

64 **2.1 Strain and culture media**

65 *Escherichia coli* $\Delta hycA \Delta lacI$ (WDHL) a hydrogen overproducing strain was used in this study.
66 A complete description of this strain can be found elsewhere [14]. For hydrogen production,
67 inocula were grown overnight in Luria Bertani (LB) medium at 37°C and shaken at 200 rpm,
68 afterwards added to fresh LB medium and cultured in closed twist cover bottles at 37°C for 48
69 h. Fermentations were done in hydrogen production (HP) medium described elsewhere [14].
70 HP medium was pasteurized at 65°C during 25 min and chilled 20 min on ice. Cheese whey
71 powder (Land O'Lakes, Arden Hills, Minnesota) at 20 g L⁻¹ was used as carbon source.

72

73 **2.2 Batch cultures in bioreactor**

74 Pre-inocula was harvested, washed once and inoculated into 1 L bioreactor (Applikon, Foster
75 City, CA) equipped with two six-blade Rushton turbines. Oxidation-reduction potential (ORP),
76 pH and dissolved CO₂ (DCO₂) were monitored using autoclavable electrodes (Applikon)
77 connected to Bioconsole ADI 1035/Biocontroller ADI 1030 (Applikon). The ORP and DCO₂
78 electrodes were calibrated according to the manufacturers at 215 mV using the reference
79 solution HI7020 (Hanna Instruments, Armazem, Portugal) and using 100% of CO₂ gas
80 saturation at atmospheric pressure, respectively. BioXpert 1.3 software (Applikon) for data
81 acquisition was used. The cultures were performed at 37°C and stirred at 175 rpm. Culture
82 samples were periodically taken from the bioreactor, and centrifuged at 11,500 x g for 5 min.
83 The supernatants were filtered through a 0.22 µm filter (Millipore) before the analysis of
84 fermentation products.

85

86 **2.3 Analytical methods**

87 The gas was measured by water displacement in an inverted burette connected to the bioreactor
88 with rubber tubing and a needle. The hydrogen content in the gas phase, was determined in a
89 Gas Chromatograph 6890 N (Agilent technologies, Wilmington, DE) as described elsewhere
90 [30]. Ethanol was measured by GC as described by De Leon-Rodriguez *et al* [31]. Organic
91 acids and carbohydrates were analyzed by isocratic liquid chromatography using a Waters 600
92 HPLC system and UV-Vis 2487 detector (Waters) at wavelength-190 nm. Samples of 20 μ L
93 were separated on a Rezex ROA H⁺ column (300 mm x 7.8 mm, 8 μ m) from Phenomenex
94 (Torrance, CA) at 60°C and using 0.005N H₂SO₄ at 0.6 mL/min as mobile phase.

95

96 **2.4 Structure of ANN**

97 To predict the hydrogen production through the on-line measurements of pH, dissolved CO₂
98 and ORP, a back propagation neural network (BPNN) was chosen. The model was structured as
99 follows:

$$100 \quad H_2 = F(\text{pH}, \text{DCO}_2, \text{ORP}, W)$$

101 Where ORP is the oxidation-reduction potential in mV, DCO₂ is the % of dissolved CO₂, pH is
102 the H⁺ potential and W is the vector of adjustable parameters of the network or weight. The
103 variable of response H₂ is the hydrogen produced in mL. The selected architecture was a
104 standard network of one hidden layer with 12 nodes [32]. The structure of the BPNN is shown
105 in Fig. 2. The output layer had a node that predicted the value of hydrogen production whereas
106 the input layer consisted on 3 nodes for pH, DCO₂ and ORP. All the neurons of hidden layer

107 were non-linear with sigmoid activation function. The output layer neuron had a lineal
108 activation function. The BPNN was trained on a Matlab platform R2008 (MathWorks, Inc.).

109

110 **2.5 BPNN Training**

111 One hundred and two data of 7 different experiments were used for the BPNN training. The
112 characteristics of the experiments are shown in Table 1. The data of the input variables were
113 scaled in the range (-1, +1) and the output variable was scaled in the range (0, +1). The training
114 was made by minimal squares methodology with respect to error function as follow:

115

$$116 \quad \text{Error} = (1/(2p)) \sum_i^p \left((H_2)_{\text{exp}}^i - (H_2)^i \right)^2$$

117

118 Where $(H_2)_{\text{exp}}^i$ is the experimental value for the i -point, $(H_2)^i$ is the value estimated by the
119 network, p is the number of data. The network training was done using the conjugated gradient
120 algorithm [33]. The BPNN parameters W were randomly assigned in the range of (-0.5, +0.5).
121 25 full cycles of conjugated gradient were needed to reach convergence and the error was
122 0.0016.

123

124 **3. Results and discussion**

125

126 **3.1 Hydrogen production by *E. coli***

127 A typical batch culture of *E. coli* WDHL at pH 5.5 is showed in Fig. 3. Cultures at other
128 operational conditions showed similar trends as those in Fig. 3, although rates of the various

129 parameters measured, their maximum concentrations, and times to reach them were different in
130 each case. Lactose was consumed quickly and was undetectable after 18 h of fermentation (Fig.
131 3A). Only a slight increment on the biomass was observed and the maximum concentration was
132 1.16 g/L and dropped gradually after 10 h of culture (Fig. 3A). In the Fig. 3B the production of
133 organic acids and ethanol are shown. Lactate was the main organic acid produced essentially in
134 the first 12 h and reached a maximum of 5 g/L in this fermentation. Succinate, propionate and
135 acetate were also produced and each acid reached around 1.6 g/L at 30 h. Only slight amount
136 below of 0.2 g/L of formate was detected in the experiment, because it was rapidly used to
137 produce hydrogen and CO₂ as soon as is produced. Ethanol was also produced and the final
138 concentration was 0.75 g/L. Fig. 3C shows the hydrogen and the DCO₂ profile. A fast increase
139 on DCO₂ was observed on the first 10 h as result of metabolically activity, reached 90% and
140 then remained constant at this value. Since inoculation, the hydrogen production was observed
141 and became slow according the lactose concentration decreased. For this culture, the maximum
142 hydrogen production was 745 mL. The hydrogen and DCO₂ trends are similar (Fig. 3C) and it
143 is explained because the production of hydrogen and CO₂ are linked, formate is broken down to
144 give one mole of hydrogen per mole of CO₂ (Fig. 1). The relation should be direct if no other
145 reactions involve CO₂ production or degradation, but oxaloacetate is formed by the
146 condensation of phosphoenolpyruvate and CO₂ [34]. The initial pH was 7.5 and dropped to 5.5
147 at 2.5 h because the accumulation of organic acids then it was automatically controlled at this
148 value with NaOH (Fig. 3D). The pH is one of the most important parameters in hydrogen
149 production by different microorganisms. For instance, Li *et al.* [35] reported a direct
150 relationship between initial pH of 5-7 and hydrogen production rate using glucose in non-
151 axenic cultures. Davila-Vazquez *et al.* [30] reached the highest hydrogen molar yield at pH of
152 7.5 and 6.5 using lactose and cheese whey respectively. Working with axenic cultures, the

153 highest hydrogen production rate was attained at initial pH of 6 by *Clostridium*
154 *saccharoperbutylacetonicum* using cheese whey as substrate [26], whereas the maximum
155 hydrogen production was reached at initial pH of 6.5 and 7.5 by metabolically engineered *E.*
156 *coli* strains using glucose [36] and CW [14] respectively. The role of the pH on the hydrogen
157 production in *E. coli* is explained because the metabolism and the import-export of formate are
158 pH-dependent. Moreover, the transcription of the FHL complex which converts formate to
159 hydrogen and CO₂ depends on the acidic pH of the growth medium [37]. The fermentative
160 metabolism had an effect on ORP and its drops at the beginning of fermentation and then
161 remained constant around -500 mV (Fig. 3D). The global measured ORP corresponds to the
162 sum of the all redox species. Table 2 shows the standard reduction potentials of main redox
163 pairs involved in the hydrogen metabolism by *E. coli*. The ORP has been considered as a
164 variable related to hydrogen production. For instance, Hussy *et al.* [19] reported that ORP was
165 negatively related to hydrogen production rate in a continuous process with non-axenic
166 cultures. Ren *et al.* [38] found that ORP and pH determined to fermentation type in a
167 continuous flow reactor with non-axenic cultures and the best condition for hydrogen
168 production occurred in the alcoholic fermentation at ORP and pH below of -217 mV and 4.5,
169 respectively. Rosales-Colunga *et al.* [14] related the ORP with the cell-growth in a batch
170 processes using a hydrogen over-producer *E. coli* strain.

171

172 ORP, dissolved CO₂ and pH are important parameters in hydrogen production as discussed
173 above and can be easily measured on-line. By these reasons the three parameters were chosen
174 to estimate the hydrogen production by the BPNN.

175

176 **3.2 Prediction of hydrogen production using a BPNN**

177 The final parameters of the BPNN after training are shown in table 3. The weights between the
178 input layer and the hidden layer are represented by the W1 values, whereas W2 represents the
179 weights between the hidden layer and the output layer. The BPNN was used with these
180 parameters to estimate H₂ for the new values of pH, CO₂ and ORP. The comparison between
181 experimental hydrogen values and predicted values based on the BPNN for the cultures at pH
182 5.5 and 6 is shown in Fig. 4. In both cultures, there is a good fit in the trends between the
183 predicted and the experimental data. Similar behavior was observed for the cultures without pH
184 control (data not shown). BPNN application was in off-line mode, however, the BPNN can be
185 applied on-line mode by the incorporation of a subroutine on the acquisition software. The Fig.
186 5 shows the correlation between the hydrogen production determined experimentally by GC
187 and the hydrogen estimated by the BPNN for all experiments with or without control of pH.
188 The R² value of 0.955 confirms that the model can predict the hydrogen production well. ANNs
189 have been used in another hydrogen production processes (Table 4). For instance, Nikhil *et al.*
190 [39] reported a BPNN to predict the hydrogen production rate in a Continuous Stirred Tank
191 Reactor (CSTR) using sucrose as substrate. Shi *et al.* [40] reported a similar system but using
192 kitchen wastes as substrate. Mu and Yu [41] used a neural network and genetic algorithm to
193 predict the hydrogen production and the steady-state of an Upflow Anaerobic Sludge Blanket
194 (UASB) reactor at various sucrose concentration and hydraulic retention times. Guo *et al.* [42]
195 estimated hydrogen yield and the chemical oxygen demand through a BPNN in an Expanded
196 Granular Sludge Bed (EGSB) reactor using starch as substrate. Therefore, BPNNs are useful
197 for prediction of hydrogen production, since their ability to learn complex non-linear input-
198 output relationships, use sequential training procedures and adapt themselves to data [39-43].

199 Aforementioned works were for non-axenic cultures and they used off-line data such as
200 alkalinity, substrate or metabolites concentration as input variables, and only when the BPNNs
201 were chosen, additional on-line variables were included. To our knowledge, this is the first
202 report on the use of BPNN to estimate the hydrogen production by genetically modified
203 microorganisms and using only on-line variables.

204

205 **4. Conclusions**

206 There are few methods for hydrogen determination. The on-line determination can be
207 performed using expensive devices. Thus, cheap and practical approaches for hydrogen
208 determination are necessary. According to the results, the BPNN predicted successfully the
209 hydrogen production using only on-line parameters in *E. coli* fermentations with or without
210 control of pH. This approach could be used for other hydrogen production systems. The BPNN
211 can be applied in off-line mode as showed here and in on-line mode by incorporation a
212 subroutine in the acquisition software.

213

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215

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218

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333

334 **Legends of Figures**

335

336 Fig. 1. Schematic representation of the fermentative pathways in *Escherichia coli*. Final
337 products are framed.

338 Fig. 2. Structure of the Artificial Neural Network used in this work. A standard network of one
339 hidden layer with 12 nodes was selected. The continuous lines represent adjustable
340 parameters W ; dashed lines are for $W < 0$. The ANN training was done using on-line
341 measurements of ORP, DCO_2 and pH during the fermentations of cheese whey by
342 *Escherichia coli* WDHL strain.

343 Fig. 3. Typical batch culture of *E. coli* WDHL during the hydrogen production using cheese
344 whey as substrate at pH 5.5. A) Lactose and biomass concentration; B) Metabolites; C)
345 Hydrogen production and dissolved CO_2 ; D) ORP and pH.

346 Fig. 4. Comparison between the experimental data of hydrogen production measured
347 experimentally by gas chromatography (closed symbols) and the prediction based on the
348 BPNN model (continuous line). A) Culture at pH 5.5. B) Culture at pH 6.

349 Fig. 5. Correlation between the hydrogen measured experimentally and the values estimated by
350 the BPNN. The lineal regression is $y = 0.9005x + 189.85$ and $r^2 = 0.955$.