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1 **Biohydrogen production from mixtures of agro-industrial wastes: chemometric**
2 **analysis, optimization and scaling up**

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22

23 **Abstract**

24 Cheese whey (CW) and wheat straw hydrolysate (WSH) were used to produce biohydrogen
25 by anaerobic co-digestion of multiple substrates. In this work, the influence of pH,
26 temperature, substrates concentrations on the biohydrogen production was explored with
27 the application of the principal component analysis (PCA) and the hierarchical clustering
28 analysis (HCA), allowing the identification of the main clusters and the uniqueness of some
29 experiments. Response surface methodology (RSM) was used to evaluate the individual
30 and interactive effects of pH, temperature, CW concentration and WSH concentration in the
31 fermentation. Optimal operational conditions obtained by RMS were 5 g L⁻¹ WSH, 25 g L⁻¹
32 CW, 26.6°C and pH 7.25. With these conditions was expected 5,724.5 mL H₂ L⁻¹. When
33 optimal conditions were tested using 0.11-L anaerobic serological bottles, 1-L and 4-L
34 bioreactors the results obtained for biohydrogen production were 4,554.5 ± 105, 3,685 ±
35 305 and 4,132.3 ± 151 mL H₂ L⁻¹, respectively; on the other hand, the biohydrogen
36 production rate was improved from 66.6 to 89.5 mL H₂ L⁻¹ h⁻¹. Results demonstrate that it
37 is possible to use WSH and CW, both individually and in combination, as a substrate for
38 the production of biohydrogen.

39

40 **Keywords:** Biohydrogen, Co-digestion, Dark Fermentation, Cheese whey, Wheat straw
41 hydrolysate, Chemometric analysis

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45 **1 Introduction**

46 Exhaustion of fossil fuel resources and environmental damages owing to petroleum
47 production and its consumption highlight the importance of a shift to renewable sources for
48 fuels. Bioenergy production from organic waste is becoming an essential component in the
49 overall development of sustainable energy sources. The biological processes to produce
50 hydrogen are environmentally friendly and can convert a wide variety of abundant organic
51 biomass at low cost. In particular, biological production of hydrogen by dark fermentation
52 can be emphasized for its large use of sustainable substrates, the high hydrogen production
53 rates, and its simplicity of operation [1,2]. The dark fermentation can be defined as the
54 partial oxidation of carbohydrates without external electron acceptor. This process also
55 produces by-products such as fatty acids and solvents, thus there is an opportunity for
56 further combination with other processes that yield more bioenergy. Dark fermentation can
57 be carried out by mixed cultures of bacteria, like *Prevotella*, *Lactobacillus*, *Clostridium*,
58 *Selenomonas*, *Megasphaera*, and *Enterobacter* genera [3–5].

59 Organic wastes are abundant sources of renewable and low cost substrate that can be
60 efficiently fermented by microorganisms. The main criteria for the selection of waste
61 materials to be used in biohydrogen production are the availability, cost, carbohydrate
62 content and biodegradability. Simple sugars such as glucose, sucrose and lactose are readily
63 biodegradable and preferred substrates for hydrogen production. However, pure
64 carbohydrate sources are expensive raw materials for hydrogen production [6]. The
65 advantages of using organic wastes for biohydrogen are: reduction of CO₂ and other
66 pollutants emissions, added value agricultural wastes, partial substitution of fossil fuels
67 with sustainable biomass fuels, and reduction of environmental and economic costs for
68 diverging the disposition of municipal solid wastes [2]. The production of renewable

69 energy, a reduction of waste and prevention of environmental pollution promote the
70 industrial application of anaerobic co-digestion for the treatment of agro-industrial organic
71 wastes. Co-digestion is defined as the anaerobic treatment of a mixture of at least two
72 different waste types with the aim of improving the efficiency of the anaerobic digestion
73 process [7]. Due to the ability of dark fermentation to use complex substrates as livestock,
74 crop residues, wastes and wastewater, there are several opportunities to develop co-
75 digestion of two or more substrates with supplementary characteristics. Co-digestion can be
76 used to enhance the dark fermentation process due to a better carbon and nutrient balance;
77 in addition, it has other potential benefits such as dilution of toxic compounds, synergistic
78 effect of microorganisms and better biogas yield. Furthermore, in the research about co-
79 utilization of different carbon sources by bacteria is important to reveal the role of each
80 carbon in bacterial physiology and how it enhances biohydrogen production [8–11].
81 According to FAO, in 2013 there was reported a production of 71.6×10^7 ton of wheat,
82 whose waste contains approximately 8.73×10^6 ton of nutrients, and 2.5×10^6 ton of cheese
83 whey [12]. In Mexico, SIACON-SIAP reported in 2011 a production of 4.4×10^6 ton of
84 wheat straw and 1.9×10^5 ton of milk of which it is estimated that 4.4×10^3 are cheese whey
85 approximately [13]. The wheat straw is rich in cellulose (35-45%), hemicellulose (20-30%)
86 and lignin (18-15%) [14], its pretreatment is necessary to break down the lignocellulose
87 into the three major polymeric constituents [15]. The thermal pretreatment of biomass
88 results in two major streams: the solid fraction mainly consisting of cellulose (hexose:
89 glucose) and liquid phase (hydrolysate) mainly constituted of hemicellulose (pentose:
90 xylose and arabinose) [16]. Meanwhile cheese whey (CW) is a liquid that separates from
91 the milk coagulation during cheese manufacture and corresponds to around 85–90% of the
92 total volume of processed milk. This residue is one of the polluting residues in the dairy

93 industry that can negatively affect the environment and biological processes during
94 wastewater treatment. [17]. In a dry basis, bovine whey contains 70-80% of lactose, 9% of
95 proteins, 8-20% of minerals and other minor components, such as some hydrolyzed
96 peptides of k-casein and lipids [18]. Therefore, the treatment of the degradable fraction of
97 solid wastes, allows the generation of carbon-neutral bioenergy, nutrients and other
98 resources or valuable products [2].

99 Since initial pH, temperature, substrate concentration, inoculum type, macronutrients and
100 micronutrients impacts the biohydrogen production; the optimization of the operating
101 conditions of bioreactors stills is a key parameter to improve the production of this energy
102 carrier [19–21]. The optimization of operational conditions can be achieved by using
103 chemometrics approaches through the application of experimental design, response surfaces
104 methodology and multivariate data analysis. Response surface methodology consists of a
105 group of mathematical and statistical techniques that are based on the fit of empirical
106 models to the experimental data obtained in relation to the experimental design. The
107 procedures are based on the simultaneous variation of numerous factors (independent
108 variables) to a specific number of levels and possible combinations of these levels are used
109 to evaluate the response (dependent variable) in order to determine the effect of individual
110 factors and their interactive influences. The optimization is simple to perform and enables
111 the optimum conditions to be found with a reduced number of experiments. The
112 multivariate data analysis, such as principal component analysis (PCA) and hierarchical
113 cluster analysis (HCA) are used to process a large number of data, assisting the
114 interpretation of the results [22–24].

115 As mentioned before, co-utilization of different organic material in the fermentation
116 complements the bacterial nutritional requirements, for instance typical wheat straw

117 hydrolysates (WSH) are nitrogen and mineral deficient and they can be obtained from the
118 cheese whey. Therefore, the goal of this work was to study the biohydrogen production by
119 dark fermentation by using two typical agroindustrial wastes as carbon sources with a
120 chemometric approach through the application of a response surface methodology and
121 multivariate data analysis.

122

123 **2 Material and Methods**

124 *2.1 Substrates and inoculum*

125 CW was purchased from Land O'Lakes Inc. (Arden Hills, Minnesota) and WSH was
126 obtained from CUCBA (University of Guadalajara, Jalisco, Mex). The lactose content of
127 CW solution was 6.9 g L⁻¹. To obtain WSH, wheat straw was slurred in dilute H₂SO₄
128 (0.75% v/v) at 4% (w/v) and pre-treated at 121°C for 1 h in a steam sterilizer with heating
129 and cooling ramps of 30 min each. The liquid fraction was recovered and the samples were
130 taken, it was centrifuged at 10,000 rpm and concentrated by evaporation at 70°C [25].
131 WSH contained per liter: total reducing sugars (TRS) 21 g, glucose 1.54 g, xylose 13.96 g,
132 arabinose 1.93 g, furfural 0.12 g, formic acid 1.01g, and acetic acid 3.6 g. Anaerobic
133 granular sludge was obtained from a wastewater treatment plant in San Luis Potosi,
134 Mexico. The granular sludge was washed with three volumes of tap water and then boiled
135 for 40 minutes to inactivate methanogenic microflora according to Davila-Vazquez et al.
136 [26] and stored at 4°C before use.

137

138 2.2 *Experimental design*

139 A Central Composite experimental design with six central points (Table 1) was used to find
140 the optimal conditions for biohydrogen production using mixtures of CW and WSH as
141 substrate. The independent variables were pH, temperature and concentration of CW and
142 WSH. Three levels for each variable were included and 2 star points. The response variable
143 was biohydrogen production (H_2). The experiments were performed in 120 mL anaerobic
144 serological bottles with a working volume of 110 mL, all bottles containing medium B [26]
145 and 2.75 g L^{-1} yeast extract. The temperature and initial pH, as well as CW and WSH
146 concentrations used in each experiment were determinate by the central composite
147 experimental design. The cultures were shaken at 175 rpm during the period of experiment
148 until no generation of biohydrogen was observed. Consequently, the data was analyzed by
149 the response surface methodology (RSM). Analysis of variance (ANOVA), RSM and the
150 optimum conditions were performed using Design-Expert® Version 7.0 (Stat-Ease, Inc.).
151 The ANOVA F test was used to assess the adjusted models. The significance of each
152 coefficient was determined with the t -test with a P value less than 0.05.

153

154 2.3 *Batch cultures on bioreactor*

155 Batch fermentations were performed using a mixture of WSH and CW (25 g L^{-1} and 5 g L^{-1} ,
156 respectively) in 1-L and 4-L bioreactors (Applikon, Foster City, CA) equipped with two
157 six-blade Rushton turbines, pH was monitored using an autocleavable electrode (Applikon)
158 and controlled at 6.5 by a Bioconsole ADI 1035/Biocontroller 103 (Applikon). BioXpert
159 1.3 software (Applikon) was used for data acquisition. The experiments were performed at
160 26.6°C with a initial pH of 7.25 and stirred at 175 rpm. Culture samples of 1 mL were taken
161 every 4 h from the bioreactors and centrifuged at 600 rpm. The supernatant was filtered

162 through a 0.22 μm syringe filter (Millipore, Bedford, MA, USA) before analysis of
163 fermentation products.

164

165 2.4 Analytical methods

166 Total reducing sugars (TRS) analysis was performed by the dinitro-salicylic acid (DNS)
167 method, with some modifications as follows: 0.25 mL of WSH with 0.75 mL of DNS
168 reagent (10 g L⁻¹ NaOH, 200 g L⁻¹ KNaC₄H₄O₆·4H₂O, 0.5 g L⁻¹ Na₂S₂O₅, 2g L⁻¹ C₆H₆O, 10
169 g L⁻¹ 3,5-Dinitrosalicylic acid) were heated for 15 min in a boiling water bath and then
170 cooled to room temperature. For the calibration curve, glucose (0.1-1.0 g L⁻¹) was used as
171 the reference standard. The absorbance was measured at 550 nm (Varian's Cary 50 Bio
172 UV-Visible Spectrophotometer) [25]. The gas production was measured by the 1N NaOH
173 displacement in an inverted burette connected to the bioreactor or to serological bottles
174 with rubber tubing and a needle. Hydrogen content in the gas phase was measured by the
175 Gas Chromatograph model 6890N (Agilent Technologies, Wilmington, DE) as described
176 elsewhere [27]. Remaining substrates and fermentation end products (glucose, succinic
177 acid, lactic acid, formic acid, acetic acid, methanol, propanol, and butanol) were analyzed
178 by High Performance Liquid Chromatography (HPLC, Infinity LC 1220, Agilent
179 Technologies, Santa Clara, CA, USA) using a Refraction Index Detector (Agilent
180 Technologies, Santa Clara, CA, USA), and column Phenomenex Rezex ROA
181 (Phenomenex, Torrance, CA, USA) at 60°C, and using 0.0025 M H₂SO₄ as mobile phase at
182 0.55 mL min⁻¹ flow rate. Ethanol, acetoin, propionic acid, and butyric acid were analyzed
183 by injecting a 1 μl sample in a Gas Chromatograph 6890N (Agilent Technologies)
184 equipped with capillary column Innowax (30 m x 0.25 mm i.d. x 0.5 μm film thickness;

185 Agilent, Wilmington, USA). Helium was used as carrier gas at a flow rate of 25 mL min⁻¹.
186 Temperatures for the injector and flame ionization detector (FID) were 220 and 250°C,
187 respectively. The analyses were performed with a split ratio of 5:1 and a temperature
188 program of 25°C for 10 min, 175°C for 1 min increased at 5°C min⁻¹ to 280°C, and
189 maintained at this temperature to a final time of 10 min.

190

191 2.5 *Chemometric techniques*

192 Principal Component Analysis (PCA) and Hierarchical Clustering Analysis (HCA) were
193 employed to investigate the similarities and dissimilarities between the studied biohydrogen
194 production from mixtures of agro-industrial wastes at different conditions (T, pH, substrate
195 concentrations). All chemometric analyses were performed with MATLAB[®] Software
196 version R2015a. PCA is the chemometric technique most commonly applied in the
197 exploratory analysis of multivariate data sets. It enables to reduce data dimensionality, to
198 visualize it and to interpret relationships between objects and parameters. HCA, on the
199 other hand, allows investigating the similarities (or dissimilarities) between experiments in
200 the variables space, or similarities (dissimilarities) between variables in the experiments
201 space. It is characterized by the similarity measure used and the way the resulting sub-
202 clusters are merged. The results of HCA are presented in the form of dendrograms where
203 on the *x*-axis the indices of clustered experiments or studied variables are presented, and the
204 *y* axis corresponds to the linkage distances between the two experiments or variables
205 linked. The visualization method may be applied to the studied data sorted according to the
206 order of objects and parameters used in the HCA, so that the similarities between objects in
207 terms of the original parameters could be followed.

208

209 **3 Results and discussion**

210 *3.1 Optimization of the culture conditions to improve biohydrogen production*

211 The effect of substrates concentrations, temperature and pH over the biohydrogen
212 production was evaluated with a Central Composite experimental design (Table 1). Central
213 points attained a production average of $3,592.3 \pm 167.6 \text{ mL H}_2 \text{ L}^{-1}$. The highest production
214 was obtained in experiment 11 with $5,359.1 \text{ mL H}_2 \text{ L}^{-1}$. Experiments with $\text{pH} \leq 5.5$ and
215 temperatures $< 28^\circ\text{C}$ or $\geq 46^\circ\text{C}$, obtained less than $70 \text{ mL H}_2 \text{ L}^{-1}$. With the ANOVA it was
216 established that biohydrogen was affected significantly ($p < 0.05$) by temperature and pH
217 (Table 2), whereas, the concentration of the substrates does not have a statistically
218 significant effect ($p < 0.05$) on response variables that are studied. In Table 1 it is possible
219 to observe that if the concentration of substrates is higher then, the biohydrogen production
220 will be higher too, this can be seen by comparing the following pairs of experiments 15 and
221 23, 1 and 11, 25 and 29, in which the substrate concentration was 20, 30 and 40 g L^{-1} ,
222 respectively. Nevertheless, if the substrate concentration is doubled the increase in H_2 is not
223 the double, to notice this we can consider that the biohydrogen production in experiment 5
224 was just 38% higher than the one obtained in experiment 6; the concentration of substrates
225 is 20 and 10 g L^{-1} , respectively. This phenomenon can be explained as an inhibitory effect
226 of the substrates, an excessive amount of substrate increases osmotic pressure and hence
227 inhibits H_2 -producing bacteria growth, besides when the substrate is in excess, it is rapidly
228 converted to hydrogen and this leads to the accumulation of H_2 , thus the hydrogen partial
229 pressure increases [28]. It can also be noted that temperature and pH have a more important
230 role on biohydrogen production, because an optimum pH helps to maintain the surface
231 charge on the cell membrane which facilitates nutrient uptake and hence sustains growth of
232 H_2 -producing bacteria; while temperature determinates the physiological activities of H_2 -

233 producing bacteria [28,29]. In the results of experiments 1, 2 and 24 all these had the same
234 concentration of substrates, but the temperature in the experiment 2 and pH in the
235 experiment 24 produced less biohydrogen. These findings were consistent with the typical
236 dark fermentation using solely one carbon source [30–33]. Therefore the use of a mixture
237 of two carbon sources can be carried out without affecting the performance of the dark
238 fermentation.

239 The second-order-polynomial representing the variable response as a function of the
240 evaluated variables in the experimental region is expressed by the following equation:

$$\begin{aligned} 241 \text{H}_2 \text{ (mL H}_2 \text{ L}^{-1}) &= -71,174.9 - 161.6 \cdot \text{WSH} + 839.5 \cdot \text{CW} + 1,586.2 \cdot \text{T} + 11,918.3 \cdot \text{pH} - \\ 242 &14.7 \cdot \text{WSH} \cdot \text{CW} + 2.8 \cdot \text{WSH} \cdot \text{T} + 67.9 \cdot \text{WSH} \cdot \text{pH} - 6.5 \cdot \text{CW} \cdot \text{T} - 36.3 \cdot \text{CW} \cdot \text{pH} - \\ 243 &102 \cdot \text{T} \cdot \text{pH} - 5.1 \cdot \text{WSH}^2 - 2.9 \cdot \text{CW}^2 - 13.1 \cdot \text{T}^2 - 592 \cdot \text{pH}^2 \end{aligned}$$

244 With the RSM, contour and response surface plots for biohydrogen production (Figs. 1 and
245 2) were obtained. From the plots it can be revealed that temperature and pH have great
246 influence on biohydrogen production. Maximum biohydrogen production was found to be
247 approximately in a range of 5,200-5,700 mL H₂ L⁻¹ at range of concentration of substrates
248 of 5-10 g TRS L⁻¹ WSH and 20-25 g L⁻¹ CW, incubation temperature of 25-31°C and initial
249 pH of 6.5-8.5. As noted in the Figs. 1, the reduction of biohydrogen production with the
250 increasing of WSH concentration can be explained by the increment of the fermentation
251 inhibitors becoming from the lignocellulosic hydrolysate such as furfural, formic acid,
252 acetic acid, and others [34,35]. These inhibitors affect to microorganisms in three distinct
253 modes of action: organic acids penetrate microbial cells and decrease the intracellular pH,
254 furan derivatives interfere with glycolytic and/or fermentative enzymes, while phenolic
255 compounds cause damage to the microbial cellular membranes [36–39].

256 Hence, from these results, biohydrogen production was optimized to get the optimum
257 values of WSH concentration, CW concentration, temperature and pH for maximum values
258 of H₂. According to the second-order-polynomial, maximum biohydrogen production of
259 5,724.5 mL H₂ L⁻¹ (95% CI: 3,375.53-6,722.02 mL H₂ L⁻¹) can be attained at WSH 5 g
260 TRS L⁻¹, CW 25 g L⁻¹ CW, 26.6°C and initial pH 7.25. To verify the predicted results,
261 additional experiments were performed by triplicate using these optimized conditions and
262 the biohydrogen production attained was 4,554.55 ± 10.9 mL H₂ L⁻¹ (Fig. 3). The
263 optimization of operational conditions using RSM was successful because the result that
264 was obtained is within the confidence interval. Davila-Vazquez *et al.* reported 2,133.8 [26]
265 and 3,812.5 [27] mL H₂ L⁻¹ using anaerobic granular sludge and CW as substrate, the
266 biohydrogen production obtained by us in optimal conditions increased in 113.5% and
267 19.5% in comparison with these two works. In a previous work from our group, we
268 evaluated the use of a waste residue wheat straw as a substrate for biohydrogen production
269 obtaining 3,277.7 mL H₂ L⁻¹ [25], this value is lower than the obtained in the present work.
270 In other studies in which mixed culture was used for biohydrogen production and rice straw
271 hydrolysate, sucrose, kitchen wastes, fruit-vegetable waste and rotten wheat straw were
272 employed as substrates, the reported H₂ biohydrogen was between 2 to 1,500 mL H₂ L⁻¹
273 [40–44], lower values than the obtained in the present study. Wu *et al.* [45], reported a
274 higher biohydrogen production using bagasse as substrate, 8,105 mL H₂ L⁻¹ and the
275 incubation temperatures used in these studies were between 35-60°C, which are higher
276 compared to the ones found by us (26.6°C). However, this value is within the range of 25 to
277 55°C reported as an optimal temperature for mixed cultures [46].

278

279 3.2 Chemometric description of the biohydrogen production from mixtures agro-
280 industrial wastes

281 Several studies have applied the analysis of variance (ANOVA) to determine the effects of
282 different variables on biohydrogen production [47–50]. Alternatively, the multivariate
283 analysis, such as a hierarchical cluster analysis (HCA) and principal component analysis
284 (PCA) could be used to summarize and explain large datasets statistically and visually.
285 Multivariate analysis is superior to other bivariate statistical techniques, since it describes
286 the interrelationships among a set of variables and it expresses the data by highlighting their
287 similarities and differences [51,52].

288 The PCA model with three significant principal components described 99.35% of the total
289 data variance. Score plots and loading plots obtained as a result of the analysis are
290 presented in Fig. 4. PC1, which described 61.83% of the total data variance, is constructed
291 mainly due to the differences in H_2 obtained by objects of the experimental design (Table
292 1). Furthermore, along the PC1 the objects can be divided into three clusters and one non-
293 grouped object corresponding to experiment 20 (experiment conducted at 28°C, pH of 5.5,
294 20 g L⁻¹ of WSH and 20 g L⁻¹ of CW). The first cluster is composed of the experiments 1,
295 3, 5, 6, 10, 11, 13, 15, 16, 18, 21, 23, 24, 25, 26, 29. The second cluster is composed of the
296 experiments 17, 19, 22. The third cluster is composed of the experiments 2, 4, 7, 8, 9, 12,
297 14, 27, 28, 30. According to the interpretation of the plots (Fig. 4) it can be observed that
298 a) the first cluster was characterized by an accumulated biohydrogen production
299 $(H_{2ac}) > 300$ mL H_2 , a biohydrogen production (H_2) ranged in 2900 mL H_2 L⁻¹ $< H_2 < 5400$
300 mL H_2 L⁻¹ and a biohydrogen production rate (r_{H_2}) ranged in 5.0 mL H_2 L⁻¹ h⁻¹ $< r_{H_2} < 20$ mL
301 H_2 L⁻¹ h⁻¹; b) the experiments included in the second cluster are characterized by relatively

302 middle values of H_{2ac} ($<10 \text{ mL H}_2$), H_2 ($<50 \text{ mL H}_2 \text{ L}^{-1}$) and r_{H_2} ($<5.0 \text{ mL H}_2 \text{ L}^{-1} \text{ h}^{-1}$); and
303 c) the third cluster is characterized by lower values of H_{2ac} , H_2 and r_{H_2} than the obtained by
304 the experiments of the second cluster. As for the PC2, it described the 36.14% of the total
305 data variance and it was constructed due to the high accumulated biohydrogen production
306 obtained by the experiments from cluster one and the low accumulated biohydrogen
307 production obtained by clusters two and three. The PC3, describing 1.38% of the total
308 variance, revealed that the experiments 10, 11, 15, 16, 18, 21, 23, 24, 25, 26 and 29 were
309 characterized by $\text{pH} \geq 6.5$ and $28^\circ\text{C} < T < 37^\circ\text{C}$.

310 The detailed conclusions drawn on the basis of the final PCA are useful proofs that the data
311 compression was effective. Therefore, the alternative method of the Hierarchical Clustering
312 Analysis was applied for an in-depth investigation of the biohydrogen production by
313 anaerobic granular sludge from mixtures of agro-industrial wastes. The dendrograms
314 constructed with the application of the Ward's linkage method are presented in Fig. 5.
315 Euclidean distance was employed as the similarity measure. The dendrogram presenting the
316 30 experiments from the Central Composite experimental design in the space of four
317 measured parameters (Fig. 5A) revealed two main clusters. Cluster A grouped experiments
318 with biohydrogen production lower than $70 \text{ mL H}_2 \text{ L}^{-1}$. Cluster B collected the experiments
319 incubated at temperatures lower than 46°C but higher than 19°C and with a biohydrogen
320 production exceeding $2950 \text{ ml H}_2 \text{ L}^{-1}$.

321 Furthermore, in cluster A two sub-clusters could be distinguished:

- 322 - Sub-cluster A_1 including experiments 2, 4, 7, 8, 9, 12, 14, 17, 19, 22, 27, 28 and 30,
- 323 and
- 324 - Sub-cluster A_2 collecting the experiment 20.

325 Also, in cluster B two sub-clusters could be observed:

- 326 - Sub-cluster B₁ composed of experiments 1, 5, 25, 29 and 11, and
- 327 - Sub-cluster B₂ collected of experiments 3, 6, 10, 13, 15, 16, 18, 21, 23, 24 and 26.

328 The dendrogram constructed for the independent and response variables (Fig. 5B) reveals
329 two main classes:

- 330 - Class C containing WSH, CW, T, pH, H₂ac and r_{H_2} ; and
- 331 - Class D enclosing H₂.

332 The HCA was complemented with a color map of the studied data, showing the measured
333 values, arranged in accordance with the order of objects and the parameters shown in Fig.
334 6. Analysis of the colour map allowed determining the relationships between the
335 experiments in the variables space, and between the variables in the experiments space. A
336 simultaneous interpretation of the dendrogram presenting the experiments in the space of
337 studied variables with the color map of studied data allowed concluding that all
338 experiments collected in cluster A differed from the remaining ones mainly because they
339 showed $H_{2ac} < 10 \text{ mL H}_2$, $H_2 < 70 \text{ mL H}_2 \text{ L}^{-1}$ and $r_{H_2} < 5.0 \text{ mL H}_2 \text{ L}^{-1} \text{ h}^{-1}$. Moreover, the
340 uniqueness of the experiments 17, 19, 20 and 22 was observed resulting from the highest
341 H₂ac, H₂ and r_{H_2} in comparison with the remaining experiments included in the cluster A.
342 Experiments included in cluster B were characterized by the high values of H₂ac, H₂ and
343 r_{H_2} when compared to the remaining experiments. The color map analysis enabled
344 discovering the uniqueness of sub-cluster B₁ because it showed $4500 \text{ mL H}_2 \text{ L}^{-1} < H_2 <$
345 $5400 \text{ mL H}_2 \text{ L}^{-1}$ at initial pH of 6.5 or 7.5. Furthermore, the experiment 11 was
346 characterized by the highest biohydrogen production at 28 °C and pH of 7.5. Sub-cluster B₂
347 was unique due to their experiments which obtained biohydrogen productions levels

348 between 2970 and 3750 mL H₂ L⁻¹ at temperature of 28 °C or 37 °C and initial pH of 5.5,
349 6.5, 7.5 and 8.5.

350

351 *3.3 Biohydrogen production from a mixture wheat straw hydrolysate with cheese whey*
352 *under optimal operating conditions*

353 To identify if the biohydrogen production by anaerobic sludge culture using a mixture of
354 WSH and CW could be scale up, the optimal operating conditions were tested in 1-L and 4-
355 L bioreactors. In 1-L and 4-L bioreactors (Fig. 3) the biohydrogen production started at 8 h
356 reaching $3,685 \pm 305$ and $4,132.1 \pm 37.8$ mL H₂ L⁻¹, respectively. In 1-L bioreactor the
357 carbohydrates were totally consumed at the end of the fermentation (164 h) while in 4-L
358 bioreactors the carbohydrates were totally consumed in 96 h. As it is observed, the lag-time
359 was reduced when the cultures were scaled-up from 0.11 to 4 L, which is result of the use
360 of the final culture cells as inoculum for the subsequent experiment indicating that
361 microbial community has been adapted to the mixture of substrates. Therefore, the
362 biohydrogen production rate was improved from 66.6 to 89.5 mL H₂ L⁻¹ h⁻¹. Table 3
363 summarizes a comparison of production, production rate and yield of biohydrogen between
364 the results reported by other authors and those achieved by us. These experiments (Table 3)
365 were performed using agro-industrial wastes or analytical grade carbohydrates as substrate.
366 The temperature and pH used were in the ranges of 30-70°C and 4.7-7, respectively. The
367 results obtained under these conditions were $1000 \text{ mL H}_2 \text{ L}^{-1} < \text{H}_2 < 4100 \text{ mL H}_2 \text{ L}^{-1}$, 60
368 $\text{ mL H}_2 \text{ L}^{-1} \text{ h}^{-1} < r_{\text{H}_2} < 520 \text{ mL H}_2 \text{ L}^{-1} \text{ h}^{-1}$ and $95 \text{ mL H}_2 \text{ g}^{-1} < Y_{\text{H}_2} < 600 \text{ mL H}_2 \text{ g}^{-1}$. Our
369 biohydrogen production results are higher than those presented in Table 3, but not so, for
370 the production rate and yield of biohydrogen.

371 Using a Student's *t*-test we obtained that the difference was not statistically significant ($p <$
372 0.05) in the results of biohydrogen production that was achieved in 0.11-L serological
373 bottles, 1-L and 4-L bioreactors, therefore it is possible to conclude that the optimal
374 operating conditions can be scaled successfully. Nevertheless, to maximize the production
375 rate and yield more experiments it is necessary to find the conditions that permit high
376 production as well as high production rate and high yield.

377

378 *3.4 Production of soluble metabolites*

379 Biohydrogen production is typically accompanied by the generation of organic acids and
380 ethanol during dark fermentation processes. Hence, the composition and concentration of
381 the produced soluble metabolites are useful indicators for monitoring the biohydrogen
382 production process [43]. The investigation of the soluble metabolites at the end of the
383 hydrogenogenic process is shown in Table 4. We can observe that the acetic acid is the
384 main organic acid produced; other organic acid and ethanol are also produced. Similar
385 results for metabolic products were reported by using sweet sorghum and indigenous micro
386 flora; 5.55 g L⁻¹ butyric acid, 3.5 g L⁻¹ acetic acid and others metabolites with values lower
387 than 1.55 g L⁻¹ (propionic acid, ethanol, lactic acid) were produced [53]. In a study in which
388 two thermophilic bacteria were used, the most abundant byproduct was butyric acid with a
389 concentration of 1.06 g L⁻¹ at the fermentation end [44]. Another work reported that the
390 dominant byproducts in fermentation were butyric acid (9.5 g L⁻¹) and acetic acid (3.8 g L⁻¹)
391 by anaerobic granular sludge when the substrate was kitchen waste [42]. Also a work in
392 which "piggery anaerobic digested residues" was used as inoculum the formation of butyric
393 and acetic acids were favored, fruit-vegetable waste was used as substrate; ethanol,
394 propionic and lactic acids were detected at lower values of 0.5 g L⁻¹ [43]. Additionally, by

395 using *Klebsiella oxytoca* $\Delta adhE$ HP1, Wu et al. [45] reported that the byproducts on the
396 fermentation of bagasse were acetic acid, lactic acid and ethanol. As noted the metabolite
397 profile in the present work was different especially comparing the serological bottles (0.11-
398 L) with respect to those found in the bioreactors (1-L and 4-L), this could be explained as
399 result of the adaptation and natural selection of the microbial community to the mixture of
400 substrates.

401

402 **4 Conclusion**

403 The PCA enabled an in-depth analysis of the influence of temperature, pH and substrates
404 concentrations on biohydrogen production. The HCA method was also applied to analyze
405 the clustering tendency of the studied data set and to trace the similarities between the
406 studied experiment in the independent and response variables space and the independent
407 and response variables in the experiments space. Biohydrogen production using the co-
408 digestion of two different sources of carbohydrates by anaerobic granular sludge was
409 successful. Through ANOVA analysis we observed that temperature and pH are the most
410 important variables in the biohydrogen production. Also the proposed mathematical model
411 proved to be valuable for optimizing the biohydrogen production with the optimal
412 conditions of 5 g L⁻¹ WSH, 25 g L⁻¹ CW, 26.6°C and pH 7.25. The results obtained in this
413 work demonstrate that it is possible to use mixtures of agro-industrial wastes to generate
414 biofuels through a cheap process that it is also industrially scalable.

415

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422

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- 611

612 **Table captions**

613

614 **Table 1** Central Composite experimental design and corresponding results by anaerobic
615 granular sludge using mixtures of wheat straw hydrolysate and cheese whey as substrate.

616

617 **Table 2** Analysis of variance for biohydrogen production.

618

619 **Table 3** Comparison of production, production rate and yield of biohydrogen from different
620 microorganisms and substrates.

621

622 **Table 4** Soluble metabolite concentrations accumulated during biohydrogen production
623 process.

624

625 **Figure captions**

626

627 **Fig. 1** Contour and response surface plots of biohydrogen production by anaerobic granular
628 sludge under optimized conditions. Temperature was fixed at 26.6°C and pH adjusted to
629 7.25 in (a) and (b), concentration of CW was fixed at 25 g L⁻¹ and pH adjusted to 7.25 in (c)
630 and (d), concentration of CW was fixed at 25 g L⁻¹ and temperature fixed at 26.6°C in (e)
631 and (f).

632

633 **Fig. 2** Contour and response surface plots of biohydrogen production by anaerobic granular
634 sludge under optimized conditions. Concentration of WSH was fixed at 5 g L⁻¹ and
635 temperature was fixed at 26.6°C in (a) and (b), concentration of WSH was fixed at 5 g L⁻¹
636 and pH adjusted to 7.25 in (c) and (d), concentration of WSH was fixed at 5 g L⁻¹ and
637 concentration of CW was fixed at 25 g L⁻¹ in (e) and (f).

638

639 **Fig. 3** Biohydrogen production in batch culture of anaerobic sludge at optimal conditions (5
640 g L⁻¹ WSH, 25 g L⁻¹ CW, 26.6°C and pH 7.25) in 0.11L, 1L and 4L bioreactors.

641

642 **Fig. 4** Score plots (A) and loading plots (B) as a result of PCA for centered and
643 standardized data X (30 x 7).

644

645 **Fig. 5** Dendrograms of (A) 30 experiments in the space of independent and response
646 variables and (B) variables in the experiments space show the similarity of the studied
647 objects and parameters.

648

649 **Fig. 6** Color map of the studied data sorted according to the Ward linkage method

650

651 **Table 1**

Experiment	WSH ^a (g L ⁻¹)	CW ^b (g L ⁻¹)	Temperature (°C)	pH	H ₂ ac ^c (mL H ₂)	H ₂ ^d (mL H ₂ L ⁻¹)	r _{H₂} ^e (mL H ₂ L ⁻¹ h ⁻¹)
1	10	20	28	7.5	546.0	4,963.6	11.4
2	10	20	46	7.5	0.5	4.5	0.4
3	15	15	37	6.5	408.0	3,709.1	10.3
4	20	10	28	5.5	0.0	0.0	0.0
5	20	20	28	7.5	520.5	4,731.8	7.9
6	10	10	28	7.5	376.8	3,425.5	10.3
7	20	10	46	7.5	0.0	0.0	0.0
8	20	20	46	7.5	0.0	0.0	0.0
9	15	15	37	4.5	1.0	9.1	0.8
10	15	15	37	6.5	393.0	3,572.7	7.6
11	20	10	28	7.5	589.5	5,359.1	10.6
12	15	15	19	6.5	0.5	4.5	0.4
13	15	15	37	6.5	409.0	3,718.2	12.8
14	20	20	46	5.5	0.5	4.5	0.2
15	5	15	37	6.5	327.0	2,972.7	12.8
16	15	15	37	6.5	398.0	3,618.2	8.6
17	15	15	55	6.5	5.0	45.5	3.8
18	15	15	37	6.5	403.3	3,666.4	11.6
19	10	20	46	5.5	4.0	36.4	3.0
20	20	20	28	5.5	7.0	63.6	0.1
21	15	15	37	6.5	359.6	3,269.1	15.1
22	10	10	28	5.5	3.0	27.3	0.0
23	15	5	37	6.5	379.5	3,450.0	12.0
24	10	20	28	5.5	412.5	3,750.0	6.1
25	15	25	37	6.5	497.0	4,518.2	18.8
26	15	15	37	8.5	396.2	3,601.8	14.3
27	20	10	46	5.5	1.0	9.1	0.8
28	10	10	46	7.5	0.0	0.0	0.0
29	25	15	37	6.5	501.5	4,559.1	12.1
30	10	10	46	5.5	0.0	0.0	0.0

652 ^aWheat straw hydrolysate. ^bCheese whey. ^cAccumulated biohydrogen production. ^dBiohydrogen
653 production. ^eBiohydrogen production rate.

654

655 **Table 2**

Source	SS ^a	DF ^b	MS ^c	F-value	<i>p</i> -value
Model	9.963E+007	14	7.117E+006	4.77	0.0024
<i>WSH</i>	53657.13	1	53657.13	0.036	0.8521
<i>CW</i>	1.966E+006	1	1.966E+006	1.32	0.2687
<i>T</i>	2.051E+007	1	2.051E+007	13.76	0.0021
<i>pH</i>	2.050E+007	1	2.050E+007	13.75	0.0021
<i>WSH</i> × <i>CW</i>	2.154E+006	1	2.154E+006	1.44	0.2480
<i>WSH</i> × <i>T</i>	2.462E+005	1	2.462E+005	0.17	0.6902
<i>WSH</i> × <i>pH</i>	1.845E+006	1	1.845E+006	1.24	0.2834
<i>CW</i> × <i>T</i>	1.358E+006	1	1.358E+006	0.91	0.3550
<i>CW</i> × <i>pH</i>	5.266E+005	1	5.266E+005	0.35	0.5611
<i>T</i> × <i>pH</i>	1.348E+007	1	1.348E+007	9.04	0.0088
<i>WSH</i> ²	4.419E+005	1	4.419E+005	0.30	0.5941
<i>CW</i> ²	1.434E+005	1	1.434E+005	0.096	0.7607
<i>T</i> ²	3.094E+007	1	3.094E+007	20.76	0.0004
<i>pH</i> ²	9.612E+006	1	9.612E+006	6.45	0.0227
Residual	2.236E+007	15	1.491E+006		
<i>Lack of Fit</i>	2.222E+007	10	2.222E+006	79.07	< 0.0001
<i>Pure Error</i>	1.405E+005	5	28099.41		
Cor Total	1.220E+008	29			

656 ^aSum of squares, ^bDegree freedom, ^cMean square

657

658 **Table 3**

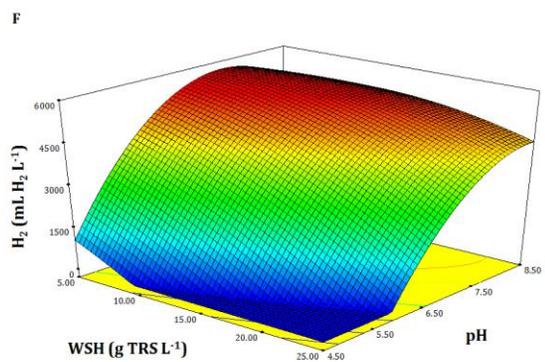
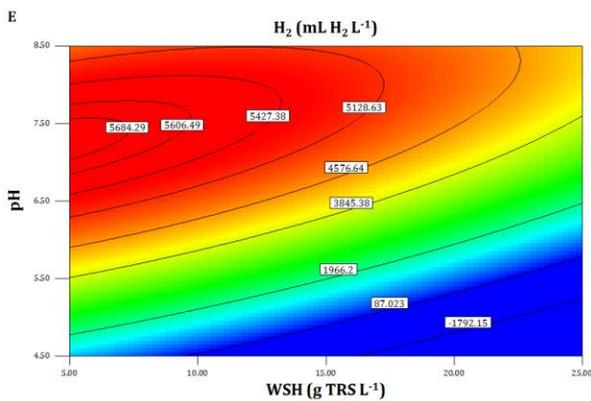
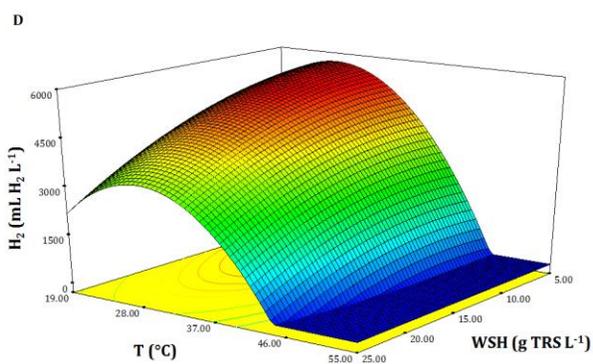
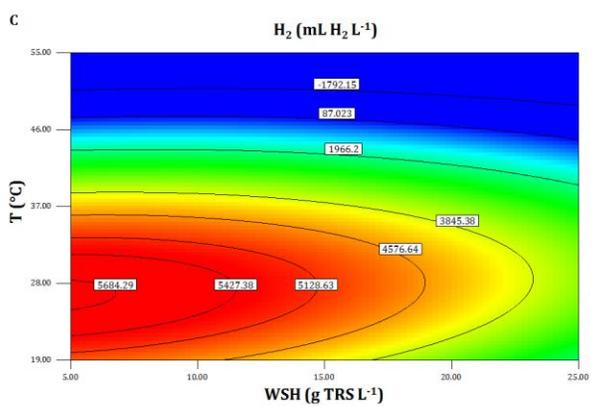
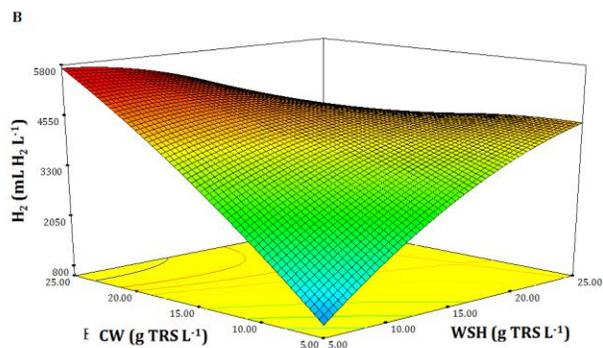
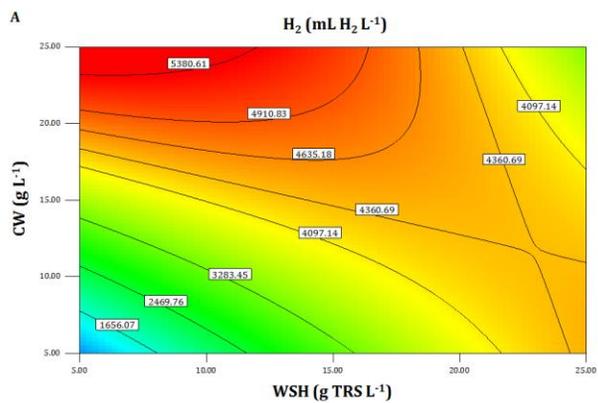
Microorganisms	T (°C)	pH	Substrate	Concentration	H ₂ (mL H ₂ L ⁻¹)	r _{H₂} (mL H ₂ L ⁻¹ h ⁻¹)	Y _{H₂} [†] (mL H ₂ g ⁻¹)	Reference
<i>Escherichia coli</i> EGY, <i>Clostridium acetobutylicum</i> ATCC	30	7.3	Rotting date palm fruits	10 g L ⁻¹ sucrose	1,023* (2 L accumulated, 1.95 L V _w)	63.7* (2.56 mmol H ₂ L ⁻¹ h ⁻¹)	218* (3 mol H ₂ mol sucrose ⁻¹)	[54]
				2.5 g L ⁻¹ sucrose	NR	87.2* (1.2 mmol H ₂ L ⁻¹ h ⁻¹)	443.3* (6.1 mol H ₂ mol sucrose ⁻¹)	
Mixed culture	35	4.7 – 5.5	Sweet sorghum	0.45 g L ⁻¹ glucose	NR	212.5* (2550 mL H ₂ d ⁻¹ , 0.5 L V _w)	98.2* (0.70 mol H ₂ mol glucose ⁻¹)	[53]
				0.47 g L ⁻¹ glucose	NR	122.5* (1740 mL H ₂ d ⁻¹ , 0.5 L V _w)	120.7* (0.86 mol H ₂ mol glucose ⁻¹)	
<i>Thermoanaerobacterium</i> <i>aotearoense</i> SCUT27/ <i>Aldh</i>	55	6.5	Sugarcane bagasse (SCB)	2 L of nonsterilized SCB hydrolysate	4,017.5* (298.4 mmol accumulated, 2 L V _w)	520	278* (1.86 mol H ₂ mol hexose ⁻¹)	[55]
<i>Caldicellulosiruptor</i> <i>saccharolyticus</i> DSM 8903, <i>C.</i> <i>kristjanssonii</i> DSM 12137	70	6.7	Glucose/xylose	NR	NR	135.2* (4.8 mmol H ₂ L ⁻¹ h ⁻¹)	578.3* (3.7 mol H ₂ mol hexose ⁻¹)	[56]
Mixed culture	37	6 – 7	Glucose	20 g L ⁻¹	2,327* (24.8 L accumulated gas, 56.3 % H ₂ content, 6 L V _w)	212.2	213.1	[57]
Anaerobic granular sludge (<i>Citrobacter freundii</i> JCM, Uncultured <i>Lachnospiraceae</i> bacterium MS146A1 E12, <i>Clostridium perfringens</i> W11, <i>Enterobacter cloacae</i> GH1 [26])	26.6	7.25	Wheat straw hydrolysate	5 g TRS L ⁻¹	4,132.1 ± 37.8 (4L V _w)	89.5	199**	This work
			Cheese whey	25 g L ⁻¹				

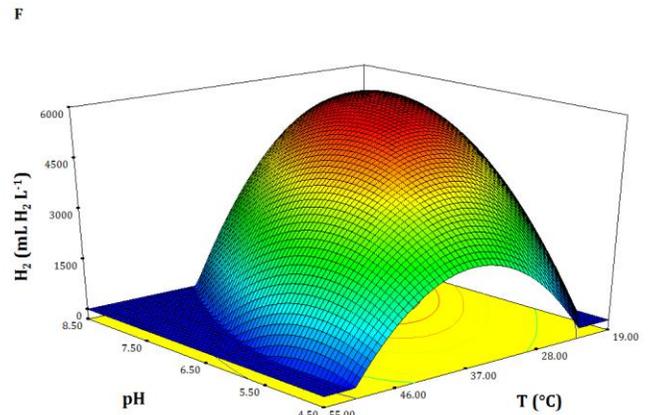
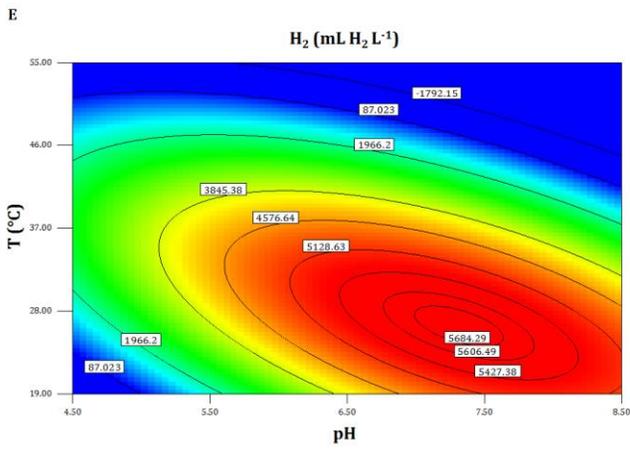
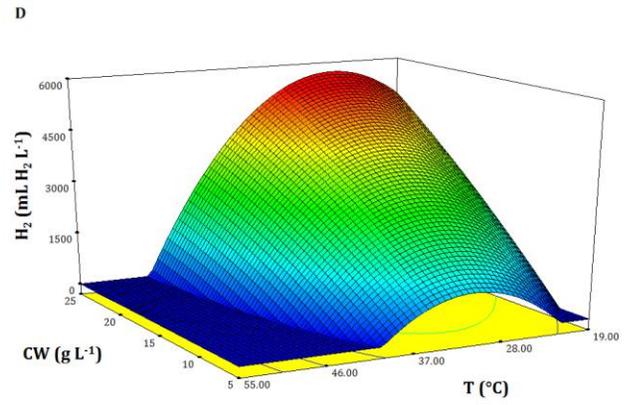
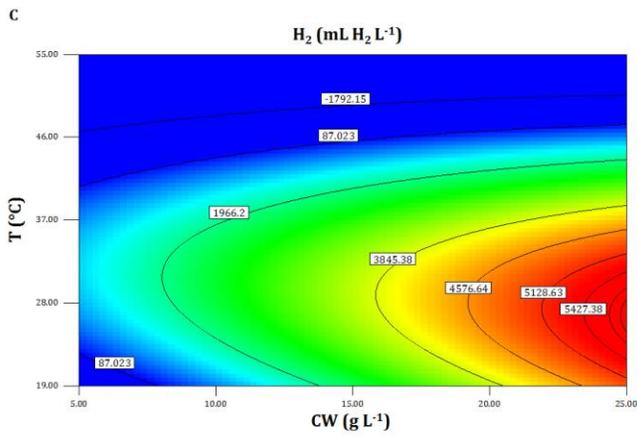
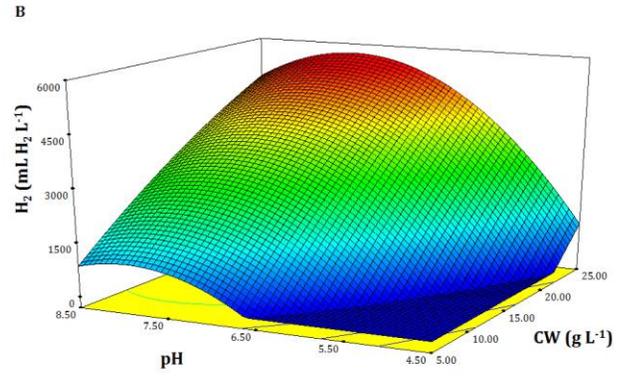
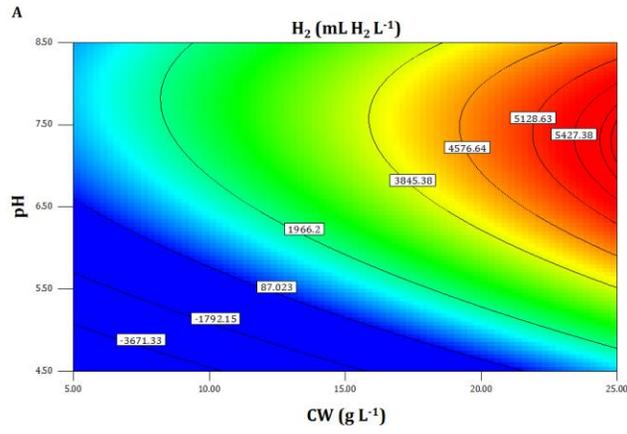
659 [†]Biohydrogen yield. *Converted units from the original data; **Substrate is the mixture of CW and WSH; V_w: Working volume. NR: Not reported.

660 **Table 4**

Metabolite	Concentrations (g L ⁻¹)		
	0.11-L Serological Bottles	1-L Bioreactor	4-L Bioreactor
Lactic acid	-	0.47 ± 0.06	1.14 ± 0.18
Formic acid	-	1.03 ± 0.07	0.41 ± 0.08
Acetic acid	6.09 ± 1.11	2.84 ± 0.18	3.58 ± 0.33
Propionic acid	0.60 ± 0.02	1.76 ± 0.11	0.57 ± 0.08
Butyric acid	3.73 ± 1.21	1.20 ± 0.11	0.41 ± 0.06
Ethanol	0.42 ± 0.04	0.59 ± 0.04	0.56 ± 0.04
Propanol	-	-	0.70 ± 0.13

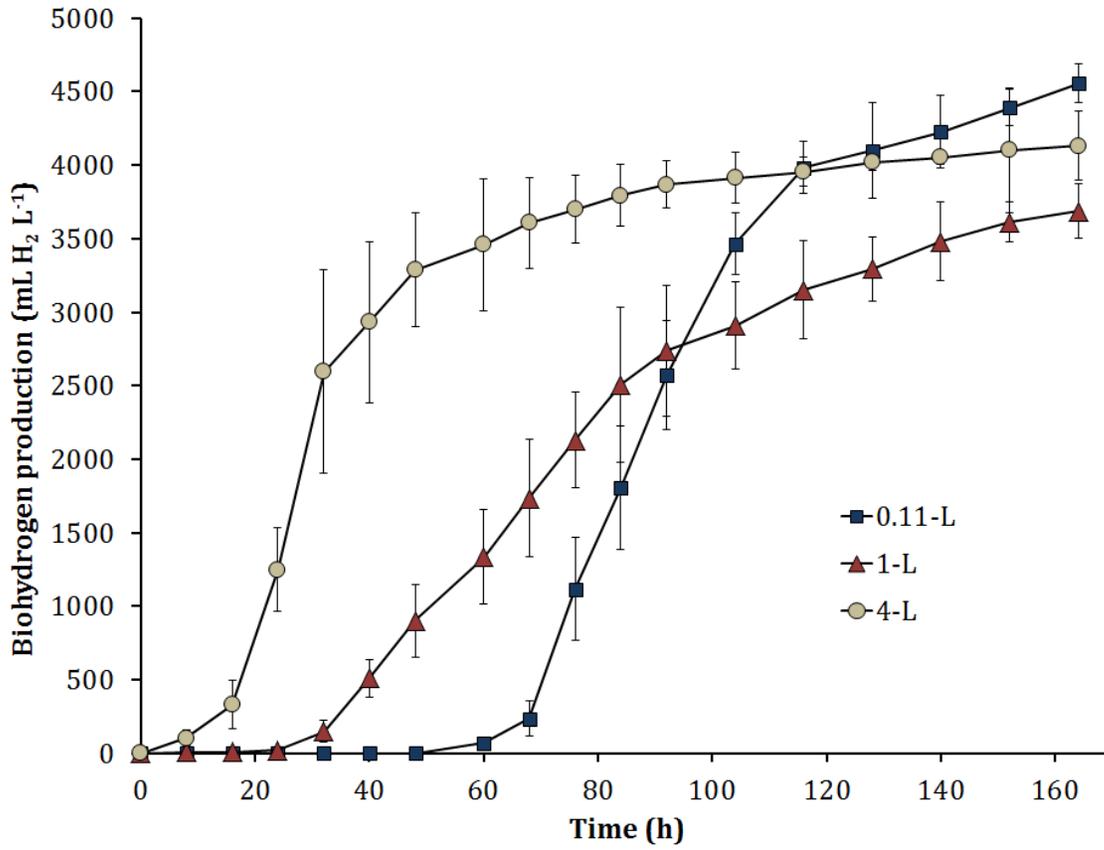
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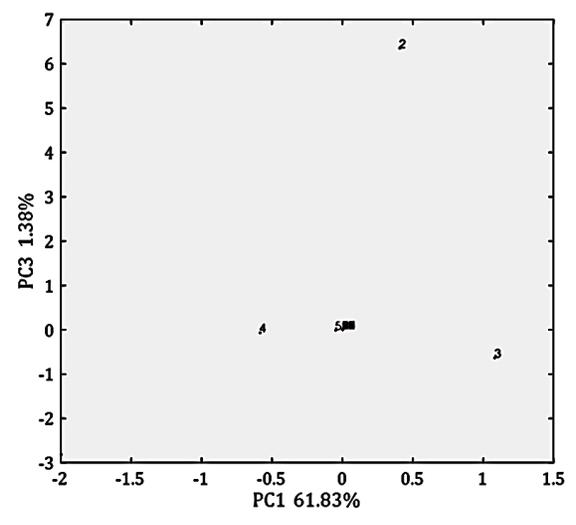
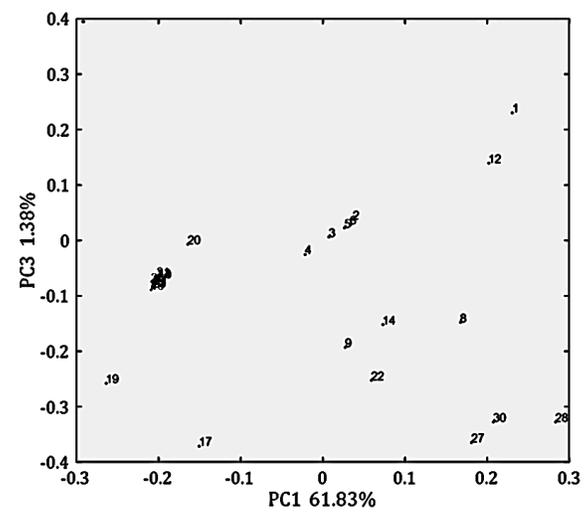
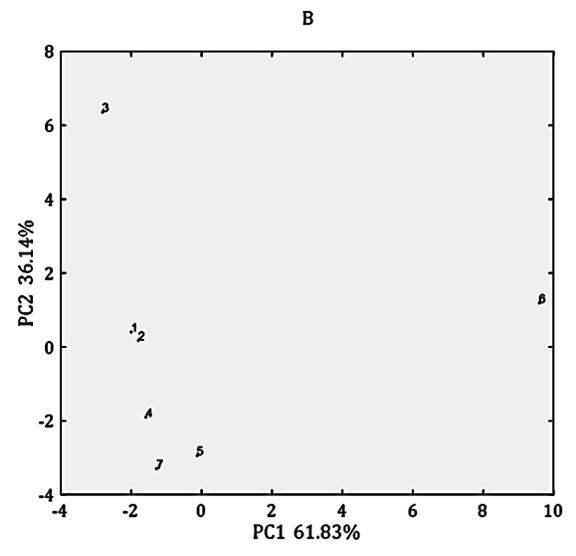
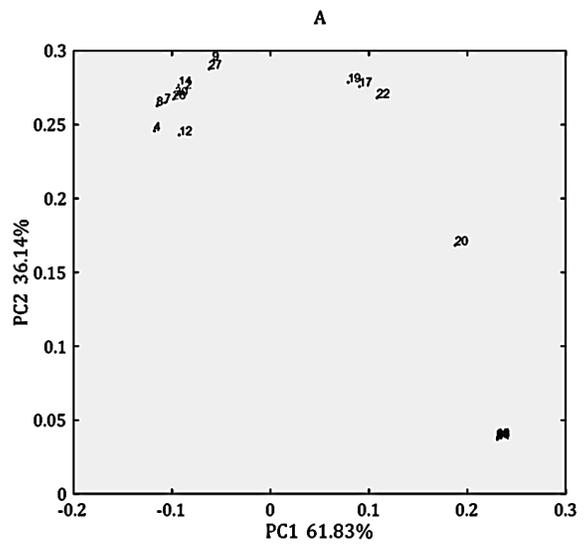
666 **Fig. 2**



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668 **Fig. 3**

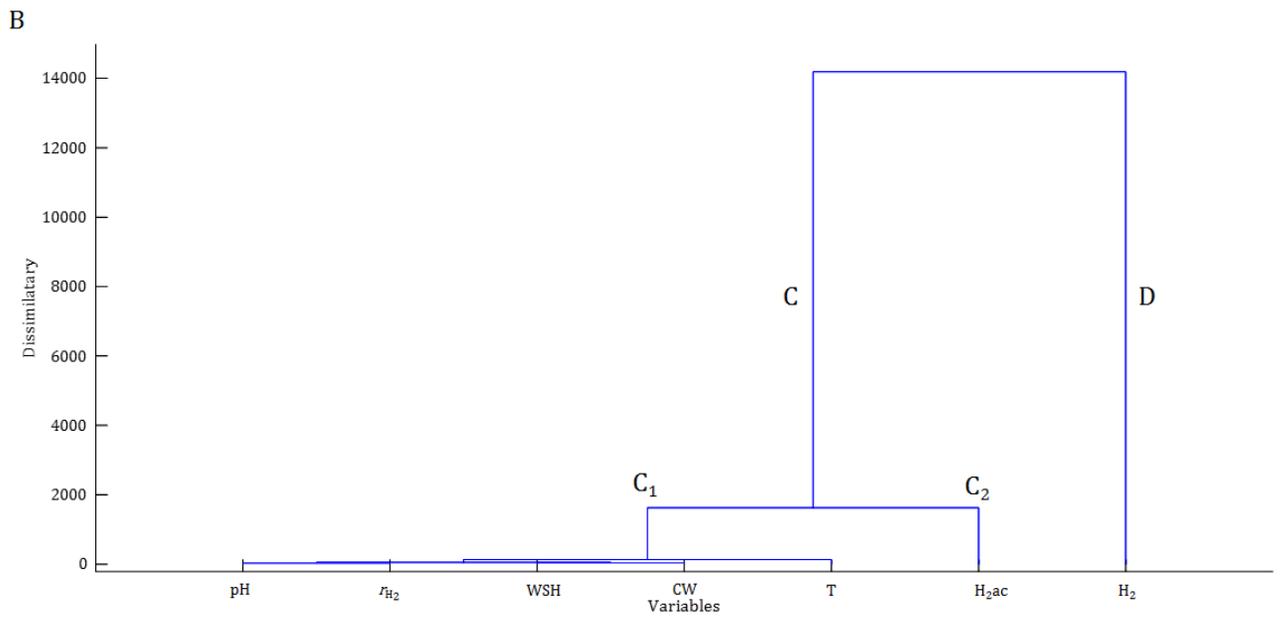
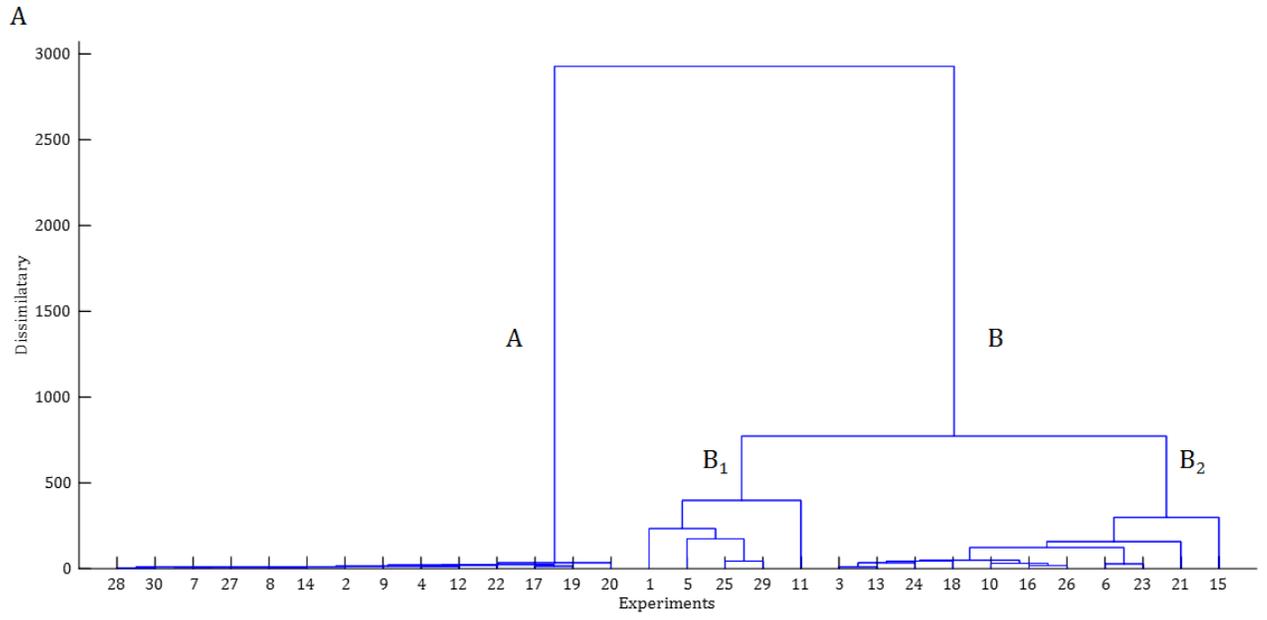
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671 **Fig. 4**

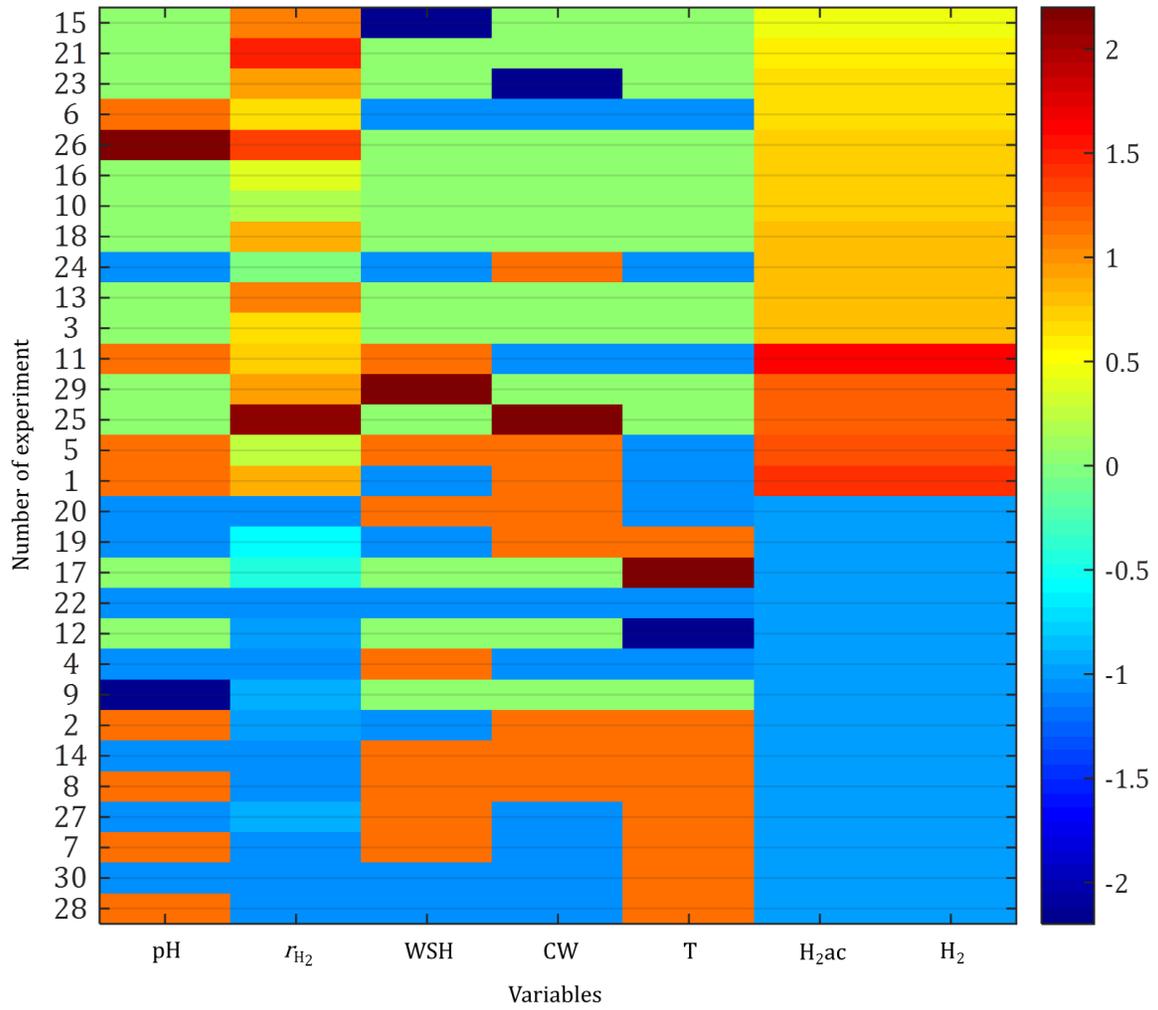
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674 **Fig. 5**

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677 **Fig. 6**