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Graphene oxide triggers mass transfer limitations on the methanogenic activity of an anaerobic consortium with a particulate substrate

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17 Abstract

18 Graphene oxide (GO) is an emerging nanomaterial widely used in many manufacturing applications, which is frequently discharged in many industrial effluents eventually reaching 19 biological wastewater treatment systems (WWTS). Anaerobic WWTS are promising technologies 20 for renewable energy production through biogas generation; however, the effects of GO on 21 22 anaerobic digestion are poorly understood. Thus, it is of paramount relevance to generate more knowledge on these issues to prevent that anaerobic WWTS lose their effectiveness for the removal 23 24 of pollutants and for biogas production. The aim of this work was to assess the effects of GO on the 25 methanogenic activity of an anaerobic consortium using a particulate biopolymer (starch) and a readily fermentable soluble substrate (glucose) as electron donors. The obtained results revealed 26 27 that the methanogenic activity of the anaerobic consortium supplemented with starch decreased up to 23-fold in the presence of GO compared to the control incubated in the absence of GO. In 28 29 contrast, we observed a modest improvement on methane production (>10% compared to the control lacking GO) using 5 mg of GO L⁻¹ in glucose-amended incubations. The decrease in the 30 31 methanogenic activity is mainly explained by wrapping of starch granules by GO, which caused 32 mass transfer limitation during the incubation. It is suggested that wrapping is driven by 33 electrostatic interactions between negatively charged oxygenated groups in GO and positively 34 charged hydroxyl groups in starch. These results imply that GO could seriously hamper the removal 35 of particulate organic matter, such as starch, as well as methane production in anaerobic WWTS. 36

- 37 Keywords: graphene oxide; starch; anaerobic digestion; mass transfer limitation
- 38

39 **1. Introduction**

40 Nanotechnology is growing at vertiginous speed and everyday more goods containing 41 nanomaterials are available in the market, from food to hi-tech applications (Vance et al., 2015). 42 Unfortunately, the eventual negative effects of tailored nanoparticles on human health and on ecosystems are unknown. Moreover, there are some important knowledge gaps with regard to 43 44 analytical methods for nanoparticles detection and also lack of legislation to establish guidelines 45 and regulations to ensure the proper and safety management and disposal of nanomaterialscontaining residues (Eduok et al., 2013). This is the outcome of poor understanding of the 46 interactions between different nanomaterials and cellular constituents, both in engineered systems 47 and in natural environments (He et al., 2014; Hu et al., 2016; Trujillo-Reyes et al., 2014). 48 49 Graphene and graphene oxide (GO) are two of the nanomaterials that have gained a lot of attention due to their interesting properties. GO is used as an intermediate to obtain graphene after its 50 51 reduction (or reduced GO, rGO for short, since in most cases some oxygenated groups remain on graphitic sheets) (Bagri et al., 2010; Mattevi et al., 2009). Besides, GO contains a range of 52 oxygenated functional groups that can be exploited as anchoring sites for functionalization and its 53 production is inexpensive and easily scalable (Dreyer et al., 2010; Novoselov et al., 2012; Zhao et 54 55 al., 2014); this is the reason why it is used in many processes and products. 56 Due to their widespread application, graphene and GO are frequently discharged in several industrial wastewaters, which ultimately reach biological WWTS. Anaerobic digestion is 57 58 increasingly considered as the best option for wastewater treatment, but given the scenario in which 59 nanomaterials are common components in industrial processes, the arrival of nanomaterials to 60 WWTS is expected, where they will interact with organic matter and cells, eventually affecting the 61 anaerobic digestion process (Yang et al., 2015; Zhao et al., 2014). 62 Starch is one of the most abundant biopolymers in the world and has been largely used in food 63 industry for human and animal nutrition. Moreover, it is also employed in other applications, such

C A	
64	as laundry services and the production of paper, pharmaceuticals, textiles, and biodegradable
65	products. This scenario has given rise to industries generating effluents with high levels of chemical
66	oxygen demand (COD) due to the presence of residual starch (Lu et al., 2015; Şentürk et al., 2010;
67	Vanier et al., 2017).
68	GO and its derivatives have also been intensively used in process treatments to remove pathogens,
69	as well as organic and inorganic compounds from gaseous, aqueous and solid media (Shen et al.,
70	2018; Trujillo-Reyes et al., 2014; Wang et al., 2013). They have also been explored as redox
71	mediators in anaerobic systems to enhance the biotransformation of recalcitrant compounds
72	(Colunga et al., 2015; Toral-Sánchez et al., 2017; Wang et al., 2014). Additionally, other studies
73	report on the implementation of graphene as a conductive component in biological systems that
74	facilitates direct interspecies electron transfer (DIET), resulting in enhanced methane production
75	(Lin et al., 2017; Lü et al., 2018; Tian et al., 2017); and functionalized GO has even been used as
76	growth stimulator for engineered bacteria (Luo et al., 2016). Therefore, it is conceivable that GO
77	and starch coexist in several industrial discharges.
78	Nevertheless, recent literature related to the effects of GO on methanogenic activity by anaerobic
79	consortia shows contradictory results and there are no data referring to the effect of combined
80	systems, such as GO-starch, and their effects on anaerobic digestion, to the best of our knowledge.
81	Hence, the objective of this work was to assess the effects of GO on the methanogenic activity of an
82	anaerobic consortium, which was fed with a complex polymer (starch) or with a soluble readily
83	fermentable substrate (glucose). This information contributes to elucidate the effects of GO on
84	anaerobic WWTS, which ultimately has relevance to achieve effective anaerobic treatment of
85	industrial effluents to produce bioenergy.

86 2. Materials and methods

87 2.1. Materials and chemical reagents

- 88 GO was purchased from Graphene Supermarket[®], which has the following characteristics:
- concentration 6.2 g L^{-1} in aqueous dispersion, monolayer > 80%, nominal particle size between 0.5
- and 5 µm, C/O ratio 3.95. Starch, glucose and all the reagents used in this work were reactive grade
- 91 from Sigma-Aldrich Company.

92 **2.2. Solutions**

- 93 The basal medium used during sludge activation was composed of (mg L^{-1}): NH₄Cl (280), K₂HPO₄
- 94 (250), MgSO₄•7H₂O (100), CaCl₂•2H₂O (10), NaHCO₃ (5000) and 1 mL of trace elements solution
- 95 composed of (mg L^{-1}): FeCl₂•4H₂O (2000), H₃BO₃ (50), ZnCl₂ (50), CuCl₂•2H₂O (38),
- 96 MnCl₂•4H₂O (500), (NH₄)₆Mo₇O₂₄•4H₂O (50), AlCl₃•6H₂O (90), CoCl₂•6H₂O (2000), NiCl₂•6H₂O
- 97 (92), Na₂SeO₃•6H₂O (162), EDTA (1000) and 1 mL HCl (36%); pH was adjusted to 7.0 ± 0.2 using
- 98 NaOH or HCl 0.1 N if needed. In the case of batch assays, NaHCO₃ (3.13 g L^{-1}) was used to get a
- pH of 7 in combination with a mixture of N₂/CO₂ (80/20 v/v) used as headspace. Distilled water was
 used to prepare all solutions.
- used to prepare an solutions.
- 101 Using the basal medium described above, a starch stock of 100 mg COD L^{-1} was prepared by
- adding the proper amount of starch powder and mixing with magnetic stirring for 30 min. Using
- volumetric flasks of 100 mL, GO dispersions of 5, 25, 50, 152.5 and 300 mg L^{-1} were prepared,
- taking aliquots from the concentrated GO dispersion, adding to the volumetric flask and filling up to
- the 100 mL mark with the starch stock prepared before. The resulting mix was sonicated for 30 min
- 106 prior to be placed into the incubation bottles.

107 **2.3. Inoculum**

- 108 Anaerobic sludge from a full-scale up-flow anaerobic sludge blanket (UASB) reactor treating
- 109 effluents from a candy factory (San Luis Potosí, Mexico) was used as inoculum in the batch
- 110 experiments. The sludge was acclimated for three months in a lab-scale UASB reactor (1.5 L) under
- 111 methanogenic conditions at a hydraulic residence time (HRT) of 1 day, with 1 g COD L^{-1} of glucose
- as energy source at 25°C. The efficiency of the reactor, in terms of COD removal, was up to 90%

- under steady state conditions. Volatile suspended solids (VSS) content was 3.22% respect to wetweight.
- 115

116 **2.4. Characterization of materials.**

117 2.4.1. Spectroscopic characterization

Identification of surface functional groups of materials was carried out using KBr pellets, prepared 118 with a 99%/1% (w/w) KBr/material proportion, which were analyzed at 32 scans with a 4 cm^{-1} 119 120 resolution in a Thermo-Scientific Fourier Transform Infrared (FT-IR) spectrophotometer (Nicolet 121 6700) under ambient conditions. GO material was obtained by drying 500 µL of the concentrated dispersion, while GO-starch mixture was prepared using 2:1 (GO:Starch) ratio by mixing 322.6 µL 122 123 of the concentrated GO dispersion and 1 mg of starch and then sonicated for 20 min. Both GO and 124 GO-starch mixture were dried for 4 h at 45°C and 1400 rpm under vacuum (Vacufuge plus Eppendorf). Raman spectra were recorded with RENISHAW Micro-Raman spectrometer with a 125 126 laser frequency of 633 nm at a potency of 10% through a 50× objective. Elemental composition, 127 oxidation states of the elements and information about the structure of GO surface were obtained 128 through X-ray photoelectron spectroscopy (XPS) analysis. Sample preparation consisted of a GO 129 dripping deposition on a silicon wafer, dried at room temperature for 12 h and the resulting film was 130 analyzed using a PHI 5000 VersaProbe II equipment with a monochromatic X-ray beam source at 1486.6 eV and 15 kV. The obtained spectra were deconvoluted with help of Aanalyzer software 131 132 v1.27, and the elemental composition was acquired with CasaXPS software v2.3.18PR1.0.

133 2.4.2. Particle charge and size distribution

134 Size distribution and zeta potential (ζ) of GO and starch were obtained using a MICROTRAC

- 135 Zetatrac NPA152-31A equipment. Each sample was sonicated for 10 s before zeta potential
- 136 measurement. For size distribution, starch was suspended in deionized water at 500 mg L^{-1} and

137 mixed for 30 min before measurement, while a dispersion of 150 mg L^{-1} in deionized water was 138 used for GO.

- 139 Zeta potential was obtained using deionized water and basal medium as dispersants, considering a
- 140 pH range from 6 to 8 obtained by adding NaOH or HCl 0.1 N as needed. Mixtures of GO (5 mg L^{-1})
- and starch (150 mg L^{-1}) in deionized water and basal medium were prepared following the same
- 142 procedure described above for starch, and then GO was added. The resulting mixture was sonicated
- 143 for 10 min before being placed into polypropylene tubes to adjust pH to the desired values.
- 144 Dissociation constants (pKa) of GO functional groups were determined by potentiometric titration
- 145 (METTLER TOLEDO T70), employing mixtures of 5 mg of GO in 20 mL of NaCl 0.01 N solution.
- 146 The mixtures obtained were left for 12 h at room temperature under mixing at 130 rpm. After this
- time, pH was adjusted to 3 by adding HCl 0.1 N and then titrated using NaOH 0.1 N until the
- solution reached a pH value of 12. The resulting titration data were analyzed using SAEIU-pK-
- 149 Dist[©] software to get the pKa distribution (Jagiello et al., 1995).

150 2.4.3. Scanning electron microscopy (SEM)

Micrographs of GO, starch, sludge and their mixtures were obtained with a FEI Helios Nanolab 600 Dual Beam Scanning Electron Microscope, operated at 5.00 kV and 86 pA. Samples were mounted on aluminum pins by dripping deposition. In the case of GO and the sludge, deionized water and basal medium were used as dispersant, respectively, while two different samples were prepared for starch: (1) powder was placed on carbon tape; and (2) a few drops of starch dispersed in deionized water. All samples were dried at atmospheric conditions overnight before being observed and studied under the microscope.

- 158 **2.5. Methanogenic activity tests**
- 159 Methane production was evaluated in batch experiments by duplicate, using 120-mL glass serum
- bottles in which 600 mg VSS L^{-1} were inoculated into 50 mL GO-starch dispersion. In these
- 161 experiments, starch was the substrate for microbial growth, while to assess the impact of the type of

162	substrate and study the influence of possible GO-starch interactions, a set of experiments were
163	carried out using glucose as substrate. Both substrates were supplied at a concentration of 100 mg
164	COD L ⁻¹ . Glucose was added just before incubation to prevent GO reduction (Ma et al., 2013).
165	Control experiments without GO were performed to define the effect of GO itself on
166	methanogenesis. Anaerobic conditions were kept along the inoculation process under N_2/CO_2
167	(80/20 v/v) atmosphere and incubation was performed in the dark at 25°C. Cumulative methane
168	production was measured by gas chromatography using an Agilent equipment model 6890N, under
169	previously reported conditions (Valenzuela et al., 2017), sampling gas phase every 5 h in the case of
170	glucose and every 10 h for starch. Liquid samples were taken every 10 h to measure volatile fatty
171	acids (VFAs) by capillary electrophoresis (Agilent 1600A equipment), as described elsewhere
172	(Arriaga et al., 2011). All samples were previously centrifuged and filtered through 0.22 μm
173	nitrocellulose membranes.

174

175 **3. Results and discussion**

176 **3.1. Effects of GO on methanogenic sludge**

In order to elucidate the limiting steps affected by GO on anaerobic digestion, two different 177 178 substrates were considered in the assessments: a soluble readily fermentable substrate (glucose) and a particulate complex polymer (starch) that depends on its hydrolysis to be converted during 179 180 methanogenesis. Methane quantification (Fig. 1) revealed that conversion of starch into methane occurred at a lower rate as compared to that observed with glucose (6.8 and 10 mmol h⁻¹, 181 respectively). In addition, the results of methane production also indicated a negative effect with the 182 183 increase in GO concentration in both cases. In fact, incubations performed with starch were more 184 affected by GO on the methanogenic activity compared to incubations supplied with glucose as 185 electron donor.

186 Interestingly, the lowest tested concentration of GO (5 mg L^{-1}) showed a positive effect on methane

- that promote DIET by syntrophic associations between bacteria and methanogens or due to GO
- redox-mediating capacity (Colunga et al., 2015; Feng et al., 2013; Ma et al., 2013; Salas et al.,

191 2010; Salvador et al., 2017; Wang et al., 2011; Xu et al., 2015).

- 192 SEM images show that starch granules in powder form have smooth appearance (Fig. 2a), while
- 193 those dispersed in water have rugged surface (Fig. 2b), which indicates that starch granules partially
- dissolve in the medium. In Fig. 2c and 2d, it is clearly visible a homogeneous coverage of starch

195 granules by GO sheets. Most GO sheets have a size around 687 nm, while prevalent size of starch is

around 102.2 nm (Fig. 3). This last value agrees with the size of the hierarchical structures that form

- 197 starch granules (Fig. S1a, Supplementary data (SD)), called blocklet (Fig. S1a inset in SD) (Pérez
- and Bertoft, 2010), suggesting that, in the absence of GO, starch granules in suspension are
- 199 separated into blocklets during sonication. While starch granules might have had larger size when
- 200 dispersed in the basal medium used in sludge incubations, they were clearly covered by multiple
- 201 GO sheets (Fig. 2). The wrapping of starch granules by GO observed here could prevent starch
- 202 hydrolysis and limit substrate available to cells, consequently leaving only the soluble starch
- 203 fraction for methanogenesis, explaining the low methane values observed when starch was
- 204 employed as electron donor.

187

188

Besides methane measurements, VFAs were also quantified during the incubations (data not
shown); nevertheless, results showed concentrations under the detection limit for all VFAs
monitored, including acetate, propionate, lactate and butyrate, in all samples taken throughout the
incubation period. These results imply that VFAs were readily used as soon as they were produced
either from glucose fermentation or from starch hydrolysis, which suggests that methanogenesis
was probably not inhibited by GO during the incubation.

211 Mass transfer limitation imposed by GO coating on starch granules might have not been the only
212 mechanism responsible for the low of methane production observed, since it is also expected a

213	wrapping of cells because peptidoglycan, pseudo-peptidoglycan (for archaea (Bullock, 2000)) and
214	other cell components have functional groups that could interact via hydrogen bonding, π - π
215	interactions and electrostatic adsorption with GO (Zou et al., 2016). These interactions might have
216	decreased methane production in experiments amended with glucose, which was completely
217	solubilized and thus the sequestering effect of substrate can be discarded. These suggestions are
218	supported by reports, which demonstrated cells coverage by GO and correlated its inactivation
219	capacity to its lateral dimension, that is, bigger GO sheets are more effective inactivating cells in a
220	short time due to cell isolation (Liu et al., 2012) while small particles can enter the cytoplasm,
221	especially those with positive charge, causing oxidative stress (Hu et al., 2017; Hu and Zhou, 2013).
222	In addition, the presence of cations in the basal medium has to be considered; it has been reported
223	that GO, in the presence of organic matter or bacteria and low concentrations of divalent cations,
224	forms flocs due to bridging effect between cations and GO functional groups (Chowdhury et al.,
225	2015; Zhang et al., 2013).
226	Wrapping of cells by rGO could also be plausible, considering that GO can be reduced by glucose
227	(Ma et al., 2013), starch (Feng et al., 2013) and bacteria (De Silva et al., 2017; Salas et al., 2010),
228	causing aggregation of graphene and trapping bacteria within the aggregated sheets in the process
229	(Akhavan et al., 2011). SEM images show cells and sludge flocs covered by GO (Fig. S2 in SD);
230	however, further studies are needed to clarify the interactions between GO and anaerobic
231	microorganisms present in methanogenic consortia.

232 **3.2.** Interactions between GO and starch affecting anaerobic digestion

- 233 According to ζ results obtained with deionized water, the expected interaction is electrostatic
- attraction due to positive charges of starch and negative ones on GO in the pH range of interest. It is
- 235 possible to find a change of charge when the mixture GO-starch goes from pH = 6 to pH = 7, from
- 236 24.5 mV to 2.46 mV, respectively (SD, Fig. S3). This drop in charge agrees with the pK_a of ~6.6
- 237 found in GO characterization (SD, Fig. S4), corresponding to deprotonation of carboxylic groups

238	and it results in charge neutralization with the positive charge of hydroxyl groups of starch that stay
239	with positive charge at those pH values. Even though C1s XPS results (Fig. 4) indicate that
240	carboxylic groups (at 289.3 eV) are just a fraction of the total GO functional groups, they could be
241	the main responsible for built up negative charges (Konkena and Vasudevan, 2012), favoring the
242	attraction of starch and then triggering the interaction with other GO groups. Other groups found
243	through C1s XPS are C=C (284.5 eV) and their associated π - π * shake-up of the aromatic system
244	(291.4 eV), as well as C=O (288.3 eV) and C-O (287.4) (Castro et al., 2016), which have higher
245	intensities than carboxylic groups.
246	In O1s XPS spectrum (Fig. 5), the peak with the largest area at ~533.1 eV can be assigned to the
247	combined effects of singly bonded oxygen, followed in decreasing order, by a peak at 532.5 eV
248	assigned to C=O in carbonyl and/or carboxyl groups and finally by the peak at 533.8 eV
249	corresponding to hydroxyl groups (mainly in phenolic compounds) (Hantsche, 1993; Levi et al.,
250	2015; Puziy et al., 2008). In both C1s and O1s XPS spectra, single bonds between carbon and
251	oxygen are predominant. In addition, the concentration of oxygen-containing groups (phenolic,
252	carbonyl and carboxylic) obtained by Boehm titration (SD, Table S1), agrees with that reported by
253	XPS analysis.
254	Interaction between GO and starch functional groups were studied by FT-IR (Fig. 6), finding out a
255	shift in peaks at 1730 cm ⁻¹ , 1570 cm ⁻¹ , 1200 cm ⁻¹ , 1100 cm ⁻¹ and 600 cm ⁻¹ to 1646 cm ⁻¹ , 1414 cm ⁻¹ ,
256	1169 cm ⁻¹ , 998 cm ⁻¹ and 570 cm ⁻¹ ; related with C=O, C=C, C-O-C (epoxide), C-O and phenolic
257	groups, respectively. These shifts have been attributed to hydrogen bonding, in the case of the
258	oxygenated groups (Li et al., 2011; Socrates, 2004; Xu et al., 2016), and to Lewis acid-base
259	interaction for C=C in aromatic rings (Zhao et al., 2014). These results and those from ζ data
260	suggest that the wrapping observed by SEM images is due to interactions that possibly include
261	hydrogen bonding, which implies that the GO coating is tightly bound and as a result interferes with
262	the hydrolysis of the starch, as indicated in the previous section.

263	FT-IR of GO-starch mixtures show a narrowing and improvement in definition of band at ~3300
264	cm ⁻¹ assigned to –OH. This band is broader in the spectrum of GO alone (Fig. 6); A broader band
265	shape has been associated with the presence of water either intercalated among stacks of GO or
266	physisorbed on GO sheets (Acik et al., 2011), so the narrower band of GO-starch mixtures suggests
267	a decrease in water amount due to a conformation of stacks with fewer layers on the surface of
268	starch granules. The relevance of the above lies on the possible development of "blade like edge"
269	that can damage cell membrane (Cai et al., 2011); however, no evidence of this phenomenon was
270	found; even when GO appears to have single or few sheets in the incubations with glucose (SD, Fig
271	S1b) or starch. It is important to note that stacks of some sheets were seen when GO was dried
272	without being in contact with starch or sludge (Fig. S1b inset in SD).
273	
274	3.3. Environmental relevance
275	The results presented in this study showed that starch granules can be wrapped by GO sheets
276	preventing their hydrolysis, which is the initial step for their conversion to methane under anaerobic
277	conditions, leading to poor methane production. This scenario represents a challenge to anaerobic
278	wastewater treatment systems, as removal of pollutants from industrial effluents to produce
279	renewable energy (as biogas) can be seriously hampered, especially in the case of effluents of food
280	and other industrial sectors containing starch as the main COD fraction.
281	In natural ecosystems, the wrapping of particulate organic matter (POM) by GO could affect the
282	availability of nutrients for heterotrophic organisms, thus altering the trophic web or cause a
283	disruption of the dynamic interchange between POM and dissolved organic matter (DOM), that
284	may result in major disequilibrium of ecosystems since both DOM and POM are involved in
285	complex biogeochemical cycles.
286	

4. Conclusions

288	This study elucidates, for the first time, mass transfer limitation imposed by GO on the
289	methanogenic activity by an anaerobic consortium. Collected evidence indicated that wrapping of
290	starch granules was the main mechanism involved. The results also reveal that low concentration of
291	GO may enhance the methanogenic activity of the anaerobic consortium studied, presumably driven
292	by DIET, during glucose fermentation. This information contributes to shed light on the effects of
293	GO on anaerobic WWTS.
294	
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Figure 1. Cumulative methane production by anaerobic sludge supplied with 100 mg COD L⁻¹ as
glucose (a) and starch (b) as substrates at different GO concentrations (numbers displayed in the
series represent GO concentrations in mg L⁻¹).

5



Figure 2. SEM images of starch granules in dry powder form (a) and the schematic growth rings
around the hilum identified by the arrow (a inset); granules dispersed in water and dried before
observation (b); and starch granules covered with GO sheets (c, d).



13 Figure 3. Size distribution obtained by dynamic light scattering of starch (gray) and GO (black) at

14 pH 7 using deionized water as dispersant.

15





Figure 4. High-resolution C1s X-ray photoelectron spectrum of GO. Dots represent experimental data; solid lines are fitted peaks that identify the different bond types of carbon, and dashed line shows π - π * transitions in aromatic rings (inset).





23 represent deconvoluted peaks, while dots are experimental data.

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26 Figure 6. FT-IR spectra of starch, graphene oxide (GO) and starch-GO mixtures with 1:2 and 1:1

27 ratio, respectively.

Highlights:

- GO wrapped starch granules and prevented their hydrolysis
- Starch granules wrapping with GO sheets was induced by electrostatic attractions
- Methane yield decreased 23.3-fold due to the starch wrapping by GO
- Low GO concentrations showed positive effects on methanogenesis with glucose

A CERTIN