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# ***Escherichia coli* and its application to biohydrogen production**

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1 **Abstract**

2

3 Hydrogen is an attractive energy carrier because its high energy density, and used as a raw  
4 material in various chemical processes. Nowadays, hydrogen demand is supplied from non-  
5 renewable sources, and alternative sources are becoming mandatory. Hydrogen production  
6 by biological methods uses renewable resources as substrate and its production occurs at  
7 ambient temperature and atmospheric pressure. Thus, it is less energy intensive than the  
8 chemical and thermochemical methods used to produce hydrogen. This review is focused  
9 on fermentative hydrogen production by *Escherichia coli*. The hydrogen production  
10 pathway, the genetic manipulations, and expression of non-native pathways into this  
11 microorganism are reviewed. The hydrogen production using alternative substrates is a  
12 critical point to develop sustainable process by this reason the principal substrates for  
13 hydrogen production using *E. coli* are revised. Other strategies like two stages processes  
14 and immobilized cells are also discussed.

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19 **Keywords:** Biofuels, Biohydrogen, Hydrogen yield, formate regulon, mixed acid  
20 fermentation, metabolic engineering

21

## 22 **1. Introduction**

23

24 Fossil fuels are the primary source of energy used to satisfy world's energy demand, and  
25 their intensive use has caused an accelerated consumption of non-renewable resources  
26 (Davila-Vazquez et al. 2008). It has been suggested that depletion of fossil resources will  
27 lead to an energy crisis in the near future (Kapdan and Kargi 2006). In addition, there is  
28 now a general scientific consensus that observed trends in global warming are being caused  
29 by fossil fuel combustion and anthropogenic emissions of greenhouse gases (Luque et al.  
30 2008). These issues have lead to explore new energy sources that could substitute fossil  
31 fuels, and be environmentally friendly and renewable.

32 Hydrogen is a promising fuel as it has a higher energy content than oil (142 MJ/kg for  
33 hydrogen versus 42 MJ/kg for oil) (Nurul Islam et al. 2005) and its combustion results only  
34 in water and energy. Hydrogen is not only used as a fuel carrier; it is widely used by the  
35 chemical industry for the production of ammonia and methanol as well for the  
36 hydrogenation of fats and oils in the food industry, production of electronic devices, steel  
37 processing and re-formulation of gasoline in refineries (Ramachandran and Menon 1998;  
38 Kapdan and Kargi 2006; Fonseca et al. 2008).

39 At present, 40% hydrogen is produced from natural gases, 30% from heavy oil and  
40 naphtha, 18% from coal, 4% from electrolysis and only about 1% from biomass (Sinha and  
41 Pandey 2011). These processes require high temperatures or pressures or both. If hydrogen  
42 production is based on fossil products or the processes to obtain this gas require high  
43 energy, then hydrogen is not the solution to solve the growing energy requirements. In the  
44 present scenario, biological hydrogen production processes are becoming important.

45

## 46 **2. Biological hydrogen production**

47

48 The main advantages of biological production are the use of renewable resources as  
49 substrate and its operation at ambient temperature and atmospheric pressure. Besides, it is  
50 less energy intensive than chemical and thermochemical methods used to produce  
51 hydrogen. Biological hydrogen production processes can be classified into three major  
52 categories: biophotolysis of water using algae and cyanobacteria, photofermentation of  
53 organic compounds by photosynthetic bacteria and dark fermentative production  
54 (Hallenbeck 2005).

55 In biophotolysis, photosynthetic organisms use solar energy to split water, producing O<sub>2</sub>  
56 and reduced ferredoxin, the latter can reduce a hydrogenase or nitrogenase, producing  
57 hydrogen (Hallenbeck and Ghosh 2009). The main advantage of this process is the  
58 abundance of substrate and simple products, whereas the disadvantages are low conversion  
59 efficiencies, sensibility of hydrogenase to oxygen and light dependence (Hallenbeck and  
60 Ghosh 2009). The anaerobic photosynthesis carried out by non-sulfur purple bacteria is  
61 called photofermentation. In this process the solar energy is used to produce ATP and high-  
62 energy electrons that reduce ferredoxin. ATP and reduced ferredoxin drive proton reduction  
63 to hydrogen by nitrogenase enzyme. These organisms cannot obtain electrons from water  
64 and therefore use organic compounds. The main disadvantages are low conversion  
65 efficiencies and the expensive photo-bioreactors required. The advantage of this process is  
66 the use of organic acid wastes as substrate allowing the use of residues of dark fermentation  
67 as substrate (see below) and increasing the overall hydrogen yield.

68

## 69 **3. The Dark fermentation pathway**

70 A variety of different microorganisms can be used anaerobically to break down mainly  
71 carbohydrate-rich substrates into hydrogen and other products, principally organic acids  
72 (lactic, acetic, butyric, etc.) and alcohols (ethanol, butanol, etc.). Final products depend of  
73 type of microorganism, oxidation state of the substrate and environmental conditions  
74 (Hallenbeck and Ghosh 2009). For hydrogen production by dark fermentation both, axenic  
75 or non-axenic cultures could be used.

76 Hypothetically up to 12 mol of hydrogen can be obtained per mole of glucose, but there are  
77 no single metabolic pathways in nature that would allow this reaction. The theoretical  
78 yields of hydrogen from dark fermentations depend on the type of organisms that are used  
79 in fermentation (Mathews and Wang 2009). Facultative anaerobes such as *Escherichia coli*  
80 produce a maximum yield of 2 moles of hydrogen from each mole of glucose consumed,  
81 whereas other enterobacteria such as *Enterobacter cloacae* produce 4 moles (Redwood et  
82 al. 2009). Both of these microorganisms produce hydrogen from formate. Sequences  
83 analysis of hydrogenase 3 (Hyd 3) large subunit from *E. coli* and hydrogenases of *E.*  
84 *cloacae* (hydrogenase 3 large subunit and Fe-hydrogenase) is shown in Figure 1. As noted,  
85 these large-subunit sequences of Hyd 3 of *E. coli* and *E. cloacae* show high identity. The  
86 presence of other hydrogenases in *E. cloacae* and the higher hydrogen yield of this  
87 microorganism implicate the simultaneous activity of NADH pathway in which the  
88 regeneration of NAD is coupled to the reduction of ferredoxin by NADH: ferredoxin  
89 oxidoreductase (NFOR), and the formate pathway to achieve this yield.

90

91 Dark fermentation seems to be the best promise for biohydrogen production due its low  
92 cost, rapid production rates, no direct solar input needed, and stable hydrogen-producing

93 enzymes. Also, organic wastes from agriculture or sewage can be used into anaerobic  
94 bioreactors, achieving the dual goals of waste management and hydrogen production  
95 (Chittibabu et al. 2006). Dark fermentations also solve the problem of expensive photo-  
96 bioreactors, which are necessary for direct biophotolysis and photofermentations, whereas  
97 the weaknesses are the low hydrogen yields and the large quantities of side products formed  
98 (ethanol and organic acids such as acetate, lactate, succinate and butyrate).

99

#### 100 **4. Hydrogen production by *Escherichia coli***

101

102 *E. coli* can grow in the presence or absence of oxygen. In both conditions, glucose is  
103 transported and catabolized to pyruvate, but the further metabolism of pyruvate is different.  
104 In aerobic condition, the glycolysis and the Krebs cycle generate NADH, which it is  
105 reoxidized by the respiratory chain. Under anaerobic condition, NADH is still produced by  
106 glycolysis, but the respiratory chain is not working and NADH must be reoxidized to  
107 continue the glycolysis process. Thus the key issue of fermentation is to recycle the NADH  
108 by the conversion of pyruvate to fermentation products (Clark 1989).

109 Figure 2 shows the fermentative pathway in *E. coli*. Carbohydrates are catabolized to  
110 phosphoenolpyruvate, which can be converted to oxaloacetate, by incorporation of CO<sub>2</sub> by  
111 phosphoenolpyruvate carboxylase (PPC). Oxaloacetate is further converted to malate,  
112 fumarate and finally to succinate. A pathway from decarboxylate succinate to propionate  
113 was proposed (Haller et al. 2000; Froese et al. 2009), and some works reported propionate  
114 in *E. coli* fermentations (Jian et al. 2010; Zhang et al. 2010; Rosales-Colunga et al. 2010a;  
115 Redwood et al. 2012). Nevertheless, the metabolic function of this pathway remains

116 uncertain. Most of the phosphoenolpyruvate is transformed to pyruvate, which is broken  
117 down into formate and acetyl-CoA by the pyruvate formate lyase (PFL). The formate is  
118 converted to hydrogen and CO<sub>2</sub> by the formate-hydrogen-lyase complex (FHL), whereas  
119 acetyl-CoA yields acetate or ethanol. There is evidence for a pathway that uses acetyl-CoA  
120 to butyrate formation via crotonyl-CoA (Lugg et al. 2008), and butyrate production has  
121 been reported in *E. coli* fermentations under particular culture conditions (Blackwood et al.  
122 1956; Redwood et al. 2012).

123 Under circumstances of high pyruvate accumulation, this may be converted to lactate by  
124 lactate dehydrogenase enzyme (LDH) (Clark 1989). As mentioned above the pathway to  
125 produce hydrogen involves formate production. Thus formate metabolism is important for  
126 hydrogen production. There are three formate dehydrogenases (FDH) in *E. coli*, FDH-N,  
127 which are active when cells are growing anaerobically in the presence of nitrate, and are  
128 encoded by the *fdnGHI* operon. FDH-O is induced under anaerobic growth and is encoded  
129 by the *fdoGHI* operon. The *fdhF* gene encodes FDH-H and it is only active in fermentative  
130 conditions. It forms part of the FHL complex and is responsible for the catabolism of  
131 formate in the hydrogen production pathway. Hydrogen is produced by the Hyd-3 enzyme,  
132 which also forms the FHL complex. Besides Hyd-3, *E. coli* has another 3 hydrogenases,  
133 Hyd-1, 2 and 4. Hyd-1 and Hyd-2 are considered as up-take hydrogenases; however, Kim  
134 et al (2010) reported hydrogen production ability for Hyd-1 even under micro-aerobic  
135 conditions and Trchounian et al (2012) found that Hyd-1, Hyd-2 and Hyd-3 can operate in  
136 reverse mode depending on pH and substrate type Hyd-4 has not been biochemically  
137 characterized (Redwood et al. 2008).



138 FHL complex is shown in Figure 3. This complex comprises seven proteins; six of them are  
139 encoded in the *hyc* operon. HycB, C, D, F and G are membrane electron transfer proteins,  
140 while HycE is the hydrogenase Hyd-3. FocA is not part of the complex, but is related to the  
141 formate metabolism because this is the formate channel and it exports the formate to  
142 prevent the acidification of the cytoplasm and then re-imports the formate when the pH is  
143 low in the culture medium. The formate regulon comprises genes that are involved in the  
144 formate metabolism. Besides the operon *hyc* and *fdhf*, the regulon also includes the *hypA-E*  
145 operon, *fhlA*, and *hydN-hypF* operon. The HypA-E, HypF, and HycI proteins are required  
146 for assembly of the Ni-Fe cofactor and the maturation of the three hydrogenases. FhlA is  
147 the transcriptional activator of the regulon, whereas HycA is the negative regulator. A  
148 detailed description of the formate regulon has been published by Leonhartsberger et al  
149 (2002) and Sawers (2005).

150

## 151 **5. Improvement of hydrogen production and yield by genetic** 152 **manipulations of *E. coli* metabolic pathways**

153

154 Among the genetically modified microorganisms reported for biohydrogen production, *E.*  
155 *coli* is one of the most widely used because its genome sequence is known, and the  
156 metabolic pathways and metabolism are the best understood of all bacteria. Also, there are  
157 molecular tools for its manipulation. Some example mutant strains of *E. coli* used for  
158 biohydrogen production are presented in Table 1.

159 Since HycA is the negative regulator of the formate regulon, the activity of FHL complex is  
160 increased when *hycA* gen is mutated (Leonhartsberger et al. 2002), thus HycA defective

161 strains are hydrogen overproducer (Penfold et al. 2003; Yoshida et al. 2005). Yoshida et al  
162 (2005) overexpressed *fhlA* and performed the *hycA* inactivation. With these genetic  
163 modifications, the transcription of *fdhF* and *hycE* increased 6.5- and 7-fold, respectively,  
164 and hydrogen production increased 2.8-fold compared with the wild-type strain.  
165 Hydrogenases 1 and 2 and formate dehydrogenase N and O are located in the periplasmic  
166 space (Figure 3), whereas hydrogenase 3 and FDH-H are located in cytoplasm. The  
167 transport of these proteins to the periplasmic is performed by the Twin arginine  
168 translocation (Tat) protein system. Therefore, Tat mutant strains do not take formate up  
169 needed for hydrogen production. Penfold et al (2006) reported that defective mutant strains  
170 of Tat transport ( $\Delta tatC$  and  $\Delta tatA-E$ ) showed a hydrogen production comparable to *E. coli*  
171 strain carrying a  $\Delta hycA$ . However, double mutant strain  $\Delta tatC \Delta hycA$  did not increase  
172 hydrogen production. Thus, it is possible that discarding activities of the uptake  
173 hydrogenases, which recycle a portion of hydrogen, and the formate hydrogenases N and O,  
174 which oxidize the formate without hydrogen production, could increase the hydrogen  
175 production by *E. coli*. Indeed, the effect of mutations in uptake hydrogenases, in lactate  
176 dehydrogenase gene (*ldhA*) and *fhlA* was studied by Bisailon et al (2006). They reported  
177 that each mutation contributed to a slight increase in hydrogen production, and the effect  
178 was synergistic. This same strain was used by Turcot et al (2008) and gave the highest  
179 hydrogen production and yield in continuous cultures. The highest yields (at, or somewhat  
180 higher than 2 mol H<sub>2</sub>/mol glucose) were obtained with cultures limited for glucose (22 mM  
181 glucose); in a posterior work (Ghosh and Hallenbeck 2009b), a yield of 1.69 mol H<sub>2</sub>/mol  
182 glucose was achieved under 75 mM glucose.

183 Maeda et al (2007a) performed multiple stable mutations to direct the metabolic flux  
184 toward hydrogen production. The best strain involves mutations on *hyaB*, *hybC*, *hycA*,  
185 *fdoG*, *frdC*, *ldhA* and *aceE* genes. The *hyaB* and *hybC* were deleted to abolish the uptake  
186 activity of hydrogenases 1 and 2. The *fdoG* and *aceE* genes code for the  $\alpha$  subunit of  
187 formate dehydrogenase O and the pyruvate dehydrogenase respectively. The inactivation of  
188 *frdC* abolishes the succinate synthesis pathway. The same group reached the theoretical  
189 yield from formate with a strain with deletions of *hyaB*, *hybC*, *hycA*, *fdoG*, and  
190 overexpression of *fhlA* (Maeda et al. 2008). Yoshida et al (2006) enhanced the hydrogen  
191 yield from 1.08 with the wild type strain to 1.82 mol H<sub>2</sub>/mol glucose using a  $\Delta$ *ldhA*,  $\Delta$ *frdBC*  
192 strain. The same yield was obtained by Mathews et al (2010) using a strain with deletions  
193 on uptake hydrogenases (*hyaAB*, *hybABC*), *hycA*, lactate dehydrogenase (*ldh*) and fumarate  
194 reductase (*frdBC*), whereas Kim et al (2009) reached 2.11 mol H<sub>2</sub>/mol glucose with a  
195 similar strain under low hydrogen partial pressure.

196 The synthesis of PFL, FHL, and FHLA is activated by the global transcriptional factor Fnr  
197 (Sawers 2005; Salmon et al. 2003; Perrenoud and Sauer 2005; Constantinidou et al. 2006),  
198 whereas the dual transcriptional regulator NarL repressed the synthesis of PFL and FHL  
199 (Overton et al. 2006). Fan et al (2009) described increases in specific and molar yields of  
200 hydrogen achieved by the modification of *focA*, *ppc*, *narL*, and *fnr* genes. The strain ZF1  
201 ( $\Delta$ *focA*) and ZF3 ( $\Delta$ *narL*) produced 14.9 and 14.4  $\mu$ mol hydrogen/mg dry cell weight,  
202 respectively, compared to 9.8  $\mu$ mol hydrogen/mg dry cell weight produced by the wild type  
203 strain. Strain ZF3 also displayed the best molar yield of 0.96 mol hydrogen/mol of glucose  
204 compared to 0.54 for the wild type strain.

205

## 206 **6. Improvement of hydrogen production by expression of heterologous** 207 **pathways in *Escherichia coli***

208 As discussed above, the low hydrogen yield of *E. coli* pathway is the main disadvantage.  
209 To overcome this drawback, some efforts have been made focused on the heterologous  
210 expression of hydrogenase genes to enhance hydrogen production. The use of this strategy  
211 can be traced back more than 30 years ago to Karube et al (1983). The authors cloned and  
212 expressed the hydrogenase from *Clostridium butyricum* into *E. coli* HK16. Since this first  
213 attempt, some other efforts have been made (Table 2). The overexpression a Fe-  
214 hydrogenase from *Enterobacter cloacae* in a non-hydrogen-producing *E. coli* BL21 strain  
215 was made by Mishra et al (2004) using degenerate primers designed from the conserved  
216 zone of *hydA* gene. The resultant recombinant strain showed the ability to produce  
217 hydrogen. King et al (2006) reported the production in *E. coli* of active enzymes by the co-  
218 expression of proteins involved in maturation of hydrogenases from *Clostridium*  
219 *acetobutylicum* and Fe-Fe hydrogenases from *Clostridium acetobutylicum*, *C.*  
220 *pasteurianum*, and *Chlamydomonas reinhardtii*. The purified enzymes showed similar  
221 specific activities to those purified from native sources. Akhtar and Jones (2008b)  
222 constructed a functional synthetic operon with the Fe-Fe hydrogenase (*hydA*) and its  
223 maturation factors (*hydF*, *hydE* and *hydG*) from *Clostridium acetobutylicum* and  
224 demonstrated that the deletion of *iscR*, which codes for the transcriptional negative  
225 regulator of the iron-sulfur cluster, stimulated the recombinant Fe-Fe hydrogenase activity  
226 (Akhtar and Jones 2008a). Finally, they developed a synthetic hydrogen pathway by co-  
227 expression of a putative pyruvate flavodoxin/ferredoxin oxidoreductase YdbK from *E. coli*,  
228 [4Fe-4S]-ferredoxin from *Clostridium pasteurianum* and *Clostridium acetobutylicum*

229 HydF, HydE, HydG, and HydA reached a maximum yield of 1.88 mol H<sub>2</sub>/mol glucose  
230 consumed (Akhtar and Jones 2009). Kuchenreuther et al (2010) described the production of  
231 active Fe-Fe hydrogenases from *Chlamydomonas reinhardtii* or *Clostridium pasteurianum*  
232 using maturases from *Shewanella oneidensis*.

233 As discussed above, *E. coli* can perform the NADPH-dependent hydrogen production  
234 pathway if adequate hydrogenases from other microorganisms are expressed (King et al.  
235 2006; Akhtar and Jones 2008b). Kim et al (2011) introduced *hydAEFG* from *C.*  
236 *acetobutylicum*, *fdxA* and *yumC* from *C. pasteurianum*, and *B. subtilis*, respectively, in an  
237 *E. coli* BL21 (DE3) strain. Since NADPH is generated mainly by the pentose phosphate  
238 pathway, and the activation of this pathway is accompanied by activation of  
239 gluconeogenesis, FBPase II (a key enzyme in gluconeogenic pathway which is less  
240 sensitive to regulation, encoded by *glpX*), and glucose 6 phosphate 1 dehydrogenase (a key  
241 enzyme activating pentose phosphate pathway, encoded by *zwf*) were overexpressed in that  
242 *E. coli* strain. Overexpression of *glpX* increased the hydrogen yield 1.48-fold whereas the  
243 co-expression of the two genes increased the yield further 2.32-fold.

244 Agapakis et al (2010) performed various hydrogen-producing electron circuits containing  
245 Fd-dependent hydrogenases from *C. acetobutylicum*, *C. saccharobutylicum*, *C. reinhardtii*,  
246 and *Shewanella oneidensis*, ferredoxins from *C. acetobutylicum*, *Spinacia olearcea*, and  
247 *Zea mays* and PFORs from *C. acetobutylicum*, *Desulfovibrio africanus*, and *E. coli*. The *E.*  
248 *coli* BL21 (DE3) strain had multiple deletions in uptake hydrogenases and competing  
249 carbon pathways. The resulting hydrogen production yield was 0.4 mol H<sub>2</sub>/mol glucose.

250 Ni-Fe hydrogenases were also expressed in *E. coli* due to low oxygen sensitivity. Maeda et al  
251 (2007b) cloned the bidirectional Ni-Fe hydrogenase (*hoxEFUYH*) from *Synechocystis sp.* in

252 *E. coli*. This strain yielded 41-times more hydrogen than the strain with the empty vector  
253 after 18 h. This effect was due to the inhibition of the uptake activity. Sun et al (2010)  
254 reported the co-expression of 4 structural genes for the NADP-dependent hydrogenase and  
255 9 genes for its maturation from *Pyrococcus furiosus*. The recombinant enzyme showed to  
256 be as functional as the native enzyme. They also observed that the maturation machinery of  
257 *E. coli* produces a functional hydrogenase when it only expressed the structural genes for  
258 the hydrogenase and a protease from *P. furiosus*. However, the hydrogenase activity was  
259 only reported *in vitro*. Weyman et al (2011) cloned and expressed the structural gene for  
260 Ni-Fe hydrogenase, maturases and adjacent genes from *Alteromonas macleodii* “deep  
261 ecotype” in *E. coli* lacking native hydrogenases. The hydrogenase showed to be active *in*  
262 *vitro* in both aerobic and anaerobic conditions. They also demonstrated the activity of a Ni-  
263 Fe hydrogenase from *Thiocapsa roseopersicina* when co-expressed with the accessory  
264 proteins from *Alteromonas macleodii*. Wells et al (2011) expressed the *Synechocystis sp.*  
265 hydrogen production pathway and its maturation factors in an *E. coli* strain in which the  
266 hydrogenases and formate production pathway were abolished. They reported *in vivo*  
267 production of 20  $\mu\text{mol}$  of hydrogen per liter of culture.

268

## 269 **7. Hydrogen production with *E. coli* using alternative carbon sources**

270 Most of the research to improve hydrogen production was conducted using glucose as  
271 substrate. However, to be competitive, biological hydrogen production must use  
272 carbohydrate rich wastes. Penfold and Macaskie (2004) transformed to *E. coli* HD701, a  
273 hydrogenase-upregulated strain and FTD701 (a derivative of HD701 that has a deletion of

274 the *tatC* gene), with the plasmid pUR400 carrying the *scr* regulon. This regulon contain the  
275 genes of *Salmonella thompson* to metabolize sucrose. The resulting *E. coli* strain produced  
276 hydrogen from sucrose. The parental strains did not produce hydrogen, whereas  
277 recombinant strains produced 1.27 and 1.38 ml H<sub>2</sub>/mg dry weight/L. Rosales-Colunga et al  
278 (2010b) obtained a yield of 2.74 mol H<sub>2</sub>/mol lactose consumed, using cheese whey as  
279 substrate, and an *E. coli*  $\Delta hycA \Delta lacI$  strain (WDHL). In a subsequent work, hydrogen  
280 production from lactose, glucose and galactose was reported; the maximum yield was  
281 attained with galactose (Rosales-Colunga et al. 2012). Ghosh and Hallenbeck (2009a)  
282 reported the hydrogen yields from arabinose, fructose, gluconate, glucose, lactose, maltose,  
283 manitol, sorbitol, sucrose, trehalose, and xylose. The highest hydrogen yield obtained was  
284 1.47 mol H<sub>2</sub>/mol substrate using sorbitol. Morsy (2011) used hydrolyzed molasses as  
285 substrate using the strain HD701. The highest hydrogen production of 570 mL of  
286 hydrogen/L and a rate of 19 mL/L/h were obtained using a concentration of 10 g/L of  
287 reducing sugars. However, the maximal yield (132 ml of hydrogen/g of reducing sugars)  
288 was obtained from 2.5 g/L of reducing sugars.

289 Perego et al (1998) used a corn starch hydrolysate (85% glucose, dry basis) to produce  
290 hydrogen with *E. coli* and *E. aerogenes*; with *E. coli* a maximum yield of 0.36 mol/mol  
291 glucose was reached. In this study, *E. aerogenes* showed better production from this  
292 substrate. Orozco et al (2012) performed the hydrothermal hydrolysis of starch with carbon  
293 dioxide and detoxification of hydrolysisate with activated coal. Hydrogen production using  
294 this hydrolysis strategy was equal to glucose controls. Akhtar and Jones (2009) reported an  
295 *E. coli* that expresses an amylase and it was used for hydrogen production from starch  
296 without previous hydrolysis.

297 Glycerol has become an abundant and inexpensive carbon source due to its generation as  
298 by-product from biodiesel fuel production. For this reason some efforts have been focused  
299 on obtaining hydrogen from glycerol. Yazdani and Gonzalez (2008) created the strain  
300 SY03 (pZSKLMgldA) in which the acetate and succinate pathways were minimized by  
301 inactivation of phosphate acetyltransferase (*pta*) and fumarate reductase (*frdA*),  
302 respectively. The enzymes responsible for the conversion of glycerol to  
303 dihydroxyacetonephosphate, a glycolytic intermediate, were overexpressed. The yield of  
304 ethanol and hydrogen reached was 95% of the theoretical maximum. Trchounian et al  
305 (2011) studied the glycerol fermentation and hydrogen production, they found that at pH of  
306 5.5 the hydrogen production was 1.5-fold higher than at a pH 6.5. Starting with *E. coli*  
307 BW25113 *frdC* that lacks fumarate-reductase and by using both adaptive evolution and  
308 chemical mutagenesis combined with a selection method based on increased growth in  
309 glycerol. Hu and Wood (2010) obtained the strain HW2, that produced 20-fold more  
310 hydrogen in glycerol medium.

311

## 312 **8. Fermentative approaches used to improve hydrogen production using** 313 ***E. coli***

314 The main disadvantage of fermentative hydrogen production is the low yield due to the  
315 production of other metabolites, mainly organic acids. To improve the net yield of  
316 hydrogen a two-stage system can be used. In the first stage, a dark fermentation is used to  
317 produce hydrogen, and in the second stage the organic acids produced in the first step are  
318 used as substrate for photofermentation, increasing the total hydrogen yield. For example,  
319 Salih (1989) used cheese whey pretreated with *E. coli* to produce hydrogen by a



320 photosynthetic *Rhodospirillum rubrum*. *E. coli* was only used to pretreat of cheese whey  
321 and not for hydrogen production; however, hydrogen production increased when pretreated  
322 whey was used. Redwood and Macaskie (2006) tried to produce hydrogen in two stages,  
323 first, by fermentation of glucose by *E. coli* HD701 and then by photofermentation of the  
324 residual medium by *R. sphaeroides*. Nevertheless, hydrogen production did not occur  
325 during photofermentation of the residual liquor per se due to the presence of fixed nitrogen  
326 compounds. This issue was further solved by electroseparation of ammonium ion and the  
327 authors reported a continuous *E. coli* reactor and a continuous *R. sphaeroides*  
328 photobioreactor integrated by anion-selective electrodialysis, simultaneously transferring  
329 anionic fermentation products, while retaining repressive ammonium ion, *E. coli* cells and  
330 suspended solids (Redwood et al. 2009). This approach resulted in sustained hydrogen  
331 production by *E. coli* with a yield of 1.6 mol hydrogen/mol hexose and sustained hydrogen  
332 photoproduction by *R. sphaeroides*. The overall yield was 2.4 mol H<sub>2</sub>/mol glucose. This  
333 electro-extractive strategy was also used to enhance continuous hydrogen and organic acid  
334 production by *E. coli* FTD67 (Redwood et al. 2012). The pH was controlled by separation  
335 of organic acids, which can be used in a further hydrogen production step by  
336 photofermentation. The maximal rate was 4.7 L/d/L of culture and yield of 0.7 mol/mol  
337 glucose.

338 Waks and Silver (2009) combined the industrial advantages of yeast with *E. coli* hydrogen  
339 production. They proposed biomass conversion to formate by *S. cerevisiae* and the  
340 subsequent conversion of formate to hydrogen by *E. coli*. The endogenous formate  
341 dehydrogenases of *S. cerevisiae* were deleted and the pyruvate formate lyase and alcohol  
342 dehydrogenase from *E. coli* were expressed; galactose was used in this first stage to

343 produce formate. The formate-enriched medium was further used to produce hydrogen by  
344 *E. coli*. Abd-Alla et al (2011) proposed the use of rotten dates to produce hydrogen in a 3-  
345 stage process. In the first stage, *E. coli* EGY was used to consume oxygen and maintain the  
346 anaerobic condition. In the second, stage hydrogen was produced using *C. acetobutylicum*  
347 ATCC 824 and finally photofermentation by *R. capsulatus* was used. The maximal total  
348 yield of the process was 7.8 mol hydrogen/mol sucrose. Seppälä et al (2011) examined  
349 hydrogen production in a co-culture of *E. coli* and *C. butyricum*. They found that the total  
350 hydrogen production of the co-culture was higher compared to the monoculture of each  
351 strain. However, the co-culture yield (1.65 mol hydrogen/mol glucose) was lower than that  
352 obtained by the pure culture of *C. butyricum* (2.09 mol hydrogen/mol glucose).

353 Another strategy widely used to produce a variety of products is the use of immobilized  
354 cells. Its main advantages are increase in the biomass concentration, low risk of  
355 contamination, operational stability, and high productivity (Seol et al. 2011). Therefore, this  
356 strategy has been used in hydrogen production. For example, Ishikawa et al (2006) probed  
357 the encapsulation of *E. coli* MC13-4 in alginate gel beads, and hydrogen increased 3-fold  
358 compared to a free cell system; nonetheless, the gas remained as bubbles in the interspace  
359 of the gel. This system was connected to a fuel cell and can produce electricity. In a later  
360 work (Ishikawa et al. 2008), a compact stacked flatbed reactor (CSFR) was developed to  
361 extract the produced gas easily. This reactor comprises pieces of agar plates containing *E.*  
362 *coli* MC13-4 at high density and reached the yield of 1.2 mol H<sub>2</sub>/mol glucose, and the  
363 production rate of 6.7 L of hydrogen/g dry cell/L of reactor/h. Seol et al (2011) examined 3  
364 different matrices (agar, agarose, and sodium alginate) to immobilize a hydrogen over-  
365 producer strain of *E. coli* (SH5). Using agar as matrix and optimal conditions a maximum

366 production rate of 2.4 L of hydrogen/L/h and yield around 100% of the theoretical from  
367 formate (1 mol/mol) were attained. They also probed a sustained production in a feed-batch  
368 operation mode.

369 *Escherichia coli* is a valuable microorganism in the study of hydrogen production as  
370 discussed above and is still a model that can provide useful information to know the  
371 hydrogen producer pathways and to improve them.

372

### 373 **Conclusions**

374 Genetic manipulations, the use of a diversity of carbohydrates, and redirection of the  
375 carbon flux to favor hydrogen production have been used to increase hydrogen yield.  
376 However, until now *E. coli* has been just an excellent microorganism to study processes to  
377 produce hydrogen in lab-scale. Additional efforts should be conducted to obtain suitable  
378 processes feasible to scaling-up to produce hydrogen for commercial purposes, for instance  
379 those where metabolites such as succinate or recombinant protein are the main products and  
380 hydrogen is a by-product. Novel and new approaches such as using synthetic biology to  
381 improve hydrogen production are still needed in a new generation of overproducing  
382 hydrogen strains.

383

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388

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587 **Figure Caption**

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589 Figure 1. Alignment of hydrogenases from *Escherichia coli* and *Enterobacter cloacae*.

590

591 Figure 2. The fermentative pathways in *Escherichia coli*.

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593 Figure 3. Schematic representation of the FHL complex.

594

595 **Table 1** Some *E. coli* mutants performed to improve hydrogen production

Strain	Mutation	Maximum yield (mol H <sub>2</sub> /mol substrate)	Substrate	Ref.
SR13	Inactivation of <i>hycA</i> and overexpression of <i>fhlA</i> .	NR	Formic acid	(Yoshida et al. 2005)
FID701	Inactivation of <i>hycA</i> and <i>tatC</i>	NR	Glucose	(Penfold et al. 2006)
DJT135	Deletion of uptake hydrogenases, mutation of <i>ldhA</i> and constitutive expression of <i>fhl</i> .	2	Glucose	(Bisailon et al. 2006), (Turcot et al. 2008)
		1.51	Glucose	(Ghosh and Hallenbeck 2009a)
		1.69	Glucose	(Ghosh and Hallenbeck 2009b)
BW25113 (modified)	Deletion of <i>hyaB</i> , <i>hybC</i> , <i>hycA</i> , <i>fdoG</i> , <i>frdC</i> , <i>ldhA</i> and <i>aceE</i>	1.35	Glucose	(Maeda et al. 2007a)
BW25113 (modified)	Deletion of <i>hyaB</i> , <i>hybC</i> , <i>hycA</i> , <i>fdoG</i> , and over expression of <i>fhlA</i>	1	Formate	(Maeda et al. 2008)
SR15	Deletion of <i>ldhA</i> and <i>frdBc</i>	1.82	Glucose	(Yoshida et al. 2006)
WDHL	Deletion of <i>lacI</i> and <i>hycA</i>	0.30	Glucose	(Rosales-Colunga et al. 2012)
GW16	Deletion of <i>hyaAB</i> , <i>hybABC</i> , <i>hycA</i> , <i>ldhA</i> and <i>frdBC</i>	1.82	Glucose	(Mathews et al. 2010)
SH5	Deletion of <i>hyaAB</i> , <i>hybBC</i> , <i>hycA</i> , <i>ldhA</i> and <i>frdAB</i>	2.11	Glucose	(Kim et al. 2009)
ZF3	Deletion of <i>narL</i>	0.96	Glucose	(Fan et al. 2009)

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597 **Table 2.** Heterologous expression of genes used to improve hydrogen production.

Strain	Heterologous gene	Maximum yield (mol H <sub>2</sub> /mol substrate)	Ref.
BL-21(DE3)/ PGEX4T-1/ <i>hydA</i>	Fe-hydrogenase from <i>Enterobacter cloacae</i> .	NR	(Mishra et al. 2004)
BL-21(DE3) (Transformed with various plasmids)	Fe-Fe hydrogenases from <i>Clostridium acetobutylicum</i> , <i>C. pasteurianum</i> and <i>Chlamydomonas reinhardtii</i> and maturation proteins from <i>Clostridium acetobutylicum</i> .	NR	(King et al. 2006)
BL21(DE3) $\Delta$ <i>iscR</i> pAF pYdbK	Construction of a synthetic hydrogen pathway with genes from <i>Clostridium pasteurianum</i> and <i>Clostridium acetobutylicum</i> .	1.88	(Akhtar and Jones 2009)
BL21(DE3) $\Delta$ <i>iscR</i> pACYCDuet-1 <i>hydGX-hydEF</i> (maturases) pET21(b) (hydrogenases) HFdYzg	Fe-Fe hydrogenase from <i>Chlamydomonas reinhardtii</i> or <i>Clostridium pasteurianum</i> and maturases from <i>Shewanella oneidensis</i> . <i>hydAEFG</i> from <i>C. acetobutylicum</i> , <i>fdxA</i> from <i>C. pasteurianum</i> and <i>yumC</i> from <i>B. subtilis</i> . Homologous overexpression of <i>zwf</i> and <i>fdx</i> .	NR	(Kuchenreuther et al. 2010)
BL21(DE3) $\Delta$ <i>hycE</i> , $\Delta$ <i>hyaB</i> , $\Delta$ <i>hybC</i> , $\Delta$ <i>hpr</i> , $\Delta$ <i>ydbK</i> , $\Delta$ <i>hcr</i> , $\Delta$ <i>yeaX</i> , $\Delta$ <i>hcaD</i> , $\Delta$ <i>frdB</i> (Transformed with various plasmids)	Various hydrogen producing electron circuits containing Fd-dependent hydrogenases from <i>C. acetobutylicum</i> , <i>C. saccharobutylicum</i> , <i>C. reinhardtii</i> , and <i>Shewanella oneidensis</i> . Ferredoxins from <i>C. acetobutylicum</i> , <i>Spinacia olearcea</i> , and <i>Zea mays</i> and PFORs from <i>C. acetobutylicum</i> , <i>Desulfovibrio africanus</i> .	0.4	(Agapakis et al. 2010)
TG1 pBS(Kan)Synhox MW4W	Hydrogenase ( <i>hoxEFUYH</i> ) from <i>Synechocystis sp.</i> PCC6803. Ni-Fe hydrogenase and maturation factors from <i>Pyrococcus furiosus</i> .	NR NR	(Maeda et al. 2007b) (Sun et al. 2010)
FTD147 pRC41	Ni-Fe hydrogenase ( <i>hynSL</i> ), maturases ( <i>hynD</i> , <i>hupH</i> and	NR	(Weyman et al. 2011)

BL21(DE3) $\Delta$ <i>hyaB</i> , $\Delta$ <i>hybC</i> , $\Delta$ <i>hycE</i> , $\Delta$ <i>hyfG</i> , $\Delta$ <i>pflB</i> pSynHox and/or pETHox	<i>hypCABDFE</i> ), and adjacent genes ( <i>orf2</i> , <i>cyt</i> , <i>orf1</i> ) from <i>Alteromonas macleodii</i> . Hydrogenase ( <i>hoxEFUYH</i> ) and maturation factors ( <i>hypA1B1CDEF</i> and <i>hoxW</i> ) from <i>Synechocystis sp.</i> PCC6803.	NR	(Wells et al. 2011)
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602 **Table 3** Hydrogen production using alternative carbon sources.

Strain	Strategy	Maximum yield (mol H <sub>2</sub> /mol substrate)	Substrate	Ref.
FTD701/ pUR400	Inactivation of <i>hycA</i> and <i>tatC</i> and expression of the genes necessary for sucrose transport and metabolism.	NR	Sucrose	(Penfold and Macaskie 2004)
WDHL	Inactivation of <i>hycA</i> and <i>lacI</i>	2.74	Cheese whey	(Rosales-Colunga et al. 2010b)
DJ135	Deletion of uptake hydrogenases, mutation of <i>ldhA</i> and constitutive expression of <i>fhl</i> .	1.12	Galactose	(Rosales-Colunga et al. 2012)
		1.47	Sorbitol	(Ghosh and Hallenbeck 2009a)
HD701	Acid hydrolysis	132 ml/g reducing sugar	Molasses (hydrolyzed)	(Morsy 2011)
NCIMB	Enzymatic hydrolysis	0.36	Corn starch	(Perego et al. 1998)
HD701	Hydrothermal hydrolysis	0.38	Starch	(Orozco et al. 2012)
BL21(DE3) $\Delta$ <i>iscR</i> pAF pYdbK	Expression of <i>Bacillus subtilis</i> AmyE	NR	Starch	(Akhtar and Jones 2009)
SY03(pZSKLMgldA)	Inactivation of <i>frdA</i> , <i>pta</i> and overexpression of <i>gldA</i> and <i>dhaKLM</i>	$\approx$ 1	Glycerol	(Shams Yazdani and Gonzalez 2008)
BW25113	Low pH	NR	Glycerol	(Trchounian et al. 2011)
HW2	Adaptative evolution and chemical mutagenesis	21 $\mu$ mol/mg protein	Glycerol	(Hu and Wood)

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**Table 4** Other strategies used to improve hydrogen production.

Strategy	Maximum yield (mol H <sub>2</sub> /mol substrate) <sup>a</sup>	Ref.
Pretreatment of cheese whey with <i>E. coli</i> and photoproduction by <i>Rhodospirillum rubrum</i> .	NR	(Salih 1989)
Two stages using <i>E. coli</i> and photoproduction by <i>R. sphaeroides</i> .	0.376(G)	(Redwood and Macaskie 2006)
Two stages using <i>E. coli</i> and photoproduction by <i>R. sphaeroides</i> in continuous mode.	2.4(G)	(Redwood et al. 2009).
Electro-extractive fermentation	0.7 (G)	(Redwood et al. 2012)
Dual organism system using <i>S. cerevisiae</i> to produce formate and used to produce hydrogen by <i>E. coli</i> .	NR	(Waks and Silver 2009)
Three stages using <i>E. coli</i> to maintain the anaerobic condition and hydrogen production by <i>C. acetobutylicum</i> and <i>R. capsulatus</i> .	7.8(S)	(Abd-Alla et al. 2011)
Co-culture of <i>E. coli</i> and <i>C. butyricum</i> .	1.65(G)	(Seppälä et al.)
Compact high density reactor.	NR	(Ishikawa et al. 2006)
Compact Stacked Flatbed Reactor (CSFR).	1.2(G)	(Ishikawa et al. 2008)
Strain SH5 immobilized in agar matrix.	1(F)	(Seol et al. 2011)

608 <sup>a</sup> G= Glucose, S=Sucrose, F=Formate

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Hyd3-E.coli/1-569  
Hyd3-E.colosaeae/1-569  
FeH-E.colosaeae/1-147

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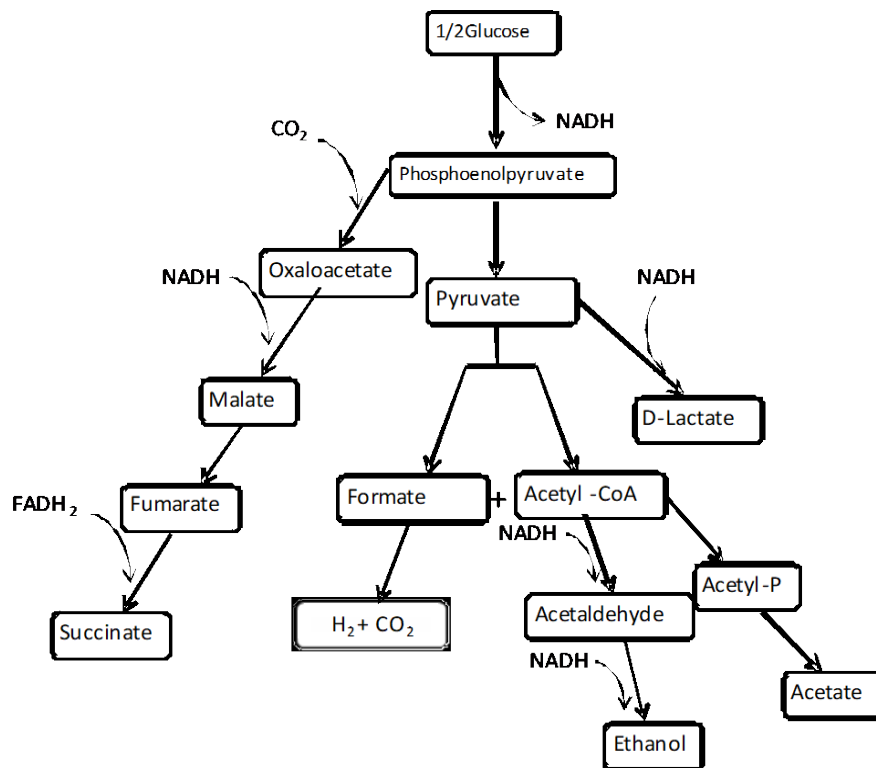
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Fig. 1

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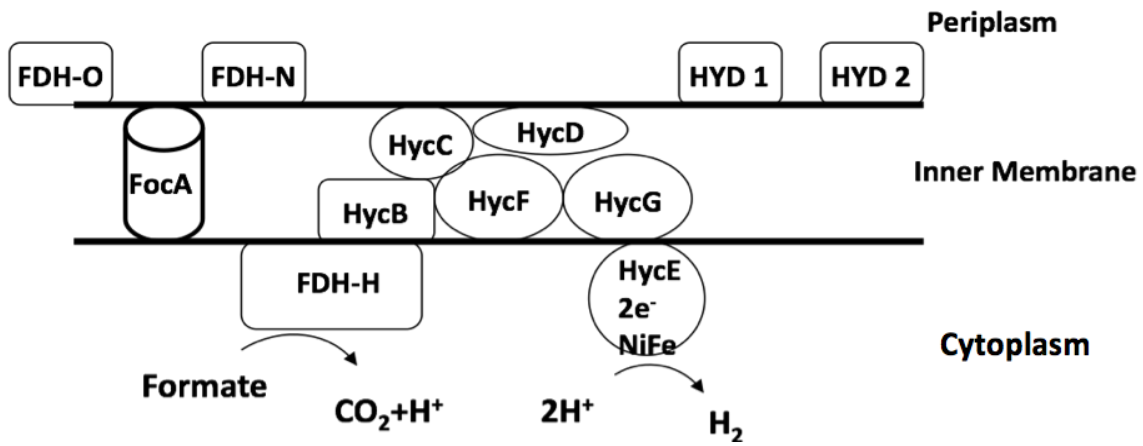
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Fig. 2

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Fig. 3.