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23 **SUMMARY**

24 In this work, we evaluated the expansion of human hematopoietic stem cells from umbilical  
25 cord blood in roller bottles (RB). The Iscove's modified Dulbecco's medium, the Stem Pro  
26 34-SFM medium, and the L-15 Leibovitz's medium for cultures in CO<sub>2</sub>-free atmosphere  
27 were assessed. At day 5 of culture, total colony forming unit expansions of 14.44±3.74,  
28 11.20±6.37 and 17.25±3.65 folds were attained, respectively. The expansion reached using  
29 L-15 medium in RB was around 10 times higher than the achieved in the static control  
30 cultures. To our knowledge, this is the first report of cultures in CO<sub>2</sub> free atmosphere to  
31 expand cord blood human hematopoietic stem cells and it opens a new branch of  
32 possibilities for culturing and clinical applications.

33

34 **HIGHLIGHTS**

- 35 - Roller Bottle cultures suit hematopoietic stem cell expansion  
36 - CO<sub>2</sub> free atmosphere cultures improve human hematopoietic stem cell expansion

37

## 38 INTRODUCTION

39 Human hematopoietic stem cells (HHSC) can be obtained from several sources such as  
40 bone marrow (BM), mobilized peripheral blood (MPB) and umbilical cord blood (UCB)  
41 [1]. BM transplants have been a life saving tool for more than 40 years in the treatment of  
42 malignant and non-malignant diseases such as leukemias and aplastic anemia [2], but the  
43 collection procedure is invasive and matching donors are not always available. Umbilical  
44 cord blood is an approach with high potential for HHSC transplantation. The advantages of  
45 UCB are a non-invasive collection procedure, the possibility of establishing cord blood  
46 banks, easier finding of compatible donors and a lower risk of host versus graft disease  
47 [3,4], but the main drawback is the small amount of HHSC that can be obtained from UCB  
48 (0.4- 1.0 X10<sup>9</sup> total mononuclear cells).

49

50 A wide range of possible therapeutic applications for HHSC is being studied; therefore  
51 strategies to exploit the UCB potential, such as *in vitro* expansion are increasingly needed.  
52 *In vitro* expansion of HHSC has been proven viable and safe, since expanded cells can be  
53 transplanted to patients without risk [5,6]. Therefore, several studies have been focused on  
54 the culture and expansion of HHSC. The proposed strategies include from 2-dimensional  
55 and three-dimensional static cultures to different types of bioreactors including *airlift*,  
56 perfusion chambers, stirred tanks, spinner flasks and rotating wall vessels with promising  
57 results [7-9]. Unlike most animal cell cultures where cell-products are harvested and cells  
58 are disposable, the major interest of HHSC culture are the cells themselves. HHSC require  
59 adequate oxygen and nutrient flow, which may be achieved with agitated bioreactors, but  
60 since they grow in suspension they are sensitive to shear stress and the mechanisms to  
61 sparge oxygen can cause cell damage. A lower agitation rate could minimize shear stress

62 [10]. Roller Bottles (RB) are a simple strategy for culturing adherent and suspended cells,  
63 they can be operated without specialized training, they are easily scalable for clinical  
64 purposes and they involve very low capital investment [11]. RB have been used for a long  
65 time to culture animal cells [12], and are now being used to culture various types of cells  
66 including hybridoma [11]. RB provide a good choice to culture suspension cells that are  
67 sensitive to shear stress because they can be operated at very low agitation rates.

68

69 In this work, we evaluated the utility of roller bottles to expand HHSC from UCB  
70 comparing the non-static and static cultures in different culture media with recombinant  
71 cytokines.

72

## 73 **MATERIALS AND METHODS**

### 74 *UCB collection and processing*

75 UCB samples from full-term deliveries were kindly provided by local hospitals, according  
76 to their ethic committee's guidelines. The UCB-MNC separation procedure has been  
77 described elsewhere [16]. Briefly, 40-80 ml blood samples were centrifuged 30 min at 850  
78 g, then 5-7 ml of white inter-phase cells and plasma were transferred into a 15 ml falcon  
79 tube and diluted 1:2 with Phosphate buffered saline pH 7.2 (PBS). Cells were transferred to  
80 15 ml falcon tubes containing 7 ml of Ficoll-Paque Plus (Pharmacia) at room temperature,  
81 and centrifuged 30 min at 1250 g. MNC ring was aspirated and transferred to a clean tube,  
82 washed twice with PBS and resuspended in 1 ml of IMDM.

83

### 84 *Culture Media*

85 Three culture media were tested: Iscove's modified Dulbecco's medium (IMDM, Gibco),  
86 Leibovitz's L-15 (L-15, Gibco) and Stem-Pro® 34SFM (Stem Pro, Gibco). IMDM and L-  
87 15 were supplemented with 10% fetal bovine serum (Gibco). Media were supplemented  
88 with human recombinant cytokines (PeproTech): 2 ng/ml interleukin-3 (IL 3), 5 ng/ml  
89 interleukin-6 (IL 6), 5 ng/ml stem cell factor (SCF), 5 ng/ml granulocyte colony stimulating  
90 factor (G-CSF), 5 ng/ml granulocyte-macrophage colony stimulating factor (GM-CSF), 5  
91 ng/ml flt3 ligand (Flt-3), and 3 U/ml erythropoietin (EPO).

92

### 93 ***Roller bottles cell culture***

94 RB cultures were started with  $0.5 \times 10^6$  MNC/ml or  $10 \times 10^3$  CD34<sup>+</sup> cell/ml into 500 ml  
95 glass roller bottles (Wheaton) containing up to 25 ml of culture medium. Cultures were  
96 maintained for 14 days at 37°C in an incubator (Shel lab) at 5% CO<sub>2</sub> atmosphere for the  
97 IMDM and Stem Prom cultures, whereas experiments in L-15 medium were maintained in  
98 a CO<sub>2</sub>-free incubator (Shel lab). Roller bottles were set in a bottle bench top roller  
99 (Wheaton) at 1 rpm. 24-well plates with 1 ml of the respective culture medium were used  
100 as control.

101

### 102 ***Colony-forming cell assay and mononuclear cell counting***

103 Number of colony-forming cell (CFC) was determined from methylcellulose-based  
104 semisolid cultures (Metho Culture; StemCell Technologies) containing per ml: 50 ng SCF,  
105 10 ng IL-3, 10 ng GM-CSF, and 3 U. Plates were inoculated with 10 000 to 40 000 cell/ml  
106 and incubated for 14 days at 37°C and 5% CO<sub>2</sub>. Hematopoietic colonies were classified as

107 described previously [20]. MNC concentration and viability were determined by cell  
108 counting with the Trypan Blue exclusion method using a hemacytometer.

### 109 *Data processing*

110 Error bars in all graphs represent the standard error of the mean.

111

## 112 **RESULTS**

### 113 *Total cell expansion*

114 The total cell growth kinetics for the cultures of HHSC under static and dynamic conditions  
115 is illustrated in fig 1. Panel A shows a typical static-culture using IMDM. For this sample,  
116 the maximum cell concentration was  $1.22 \times 10^6$  cell/ml at day 13 and  $0.063 \times 10^6$  cell/ml at  
117 day 10 for the static and RB culture respectively. Fig 1B shows the cell growth of one  
118 representative sample cultured in Stem Pro. After the initial death phase of 5 days, the  
119 maximum cell concentration was reached at day 10 of culture, with  $1.07 \times 10^6$  and  $2.83 \times$   
120  $10^6$  cell/ml for the static and RB cultures respectively. The cultures in Stem Pro reached  
121 higher total cell numbers, which can be confirmed comparing panel B to panel A, which is  
122 the same sample cultured in IMDM. Fig 1C shows the total cell growth of a culture in L-15.  
123 Nearly all controls showed a death phase of only 3 days, but most RB cultures pass through  
124 this phase starting the total cell growth from the beginning of the experiment. In this case,  
125 the maximum cell concentration, achieved at day 10 of culture, was  $1.23 \times 10^6$  and  $1.21 \times 10^6$   
126 cell/ml for the static and RB culture respectively.

127 Fig. 2 illustrates the total cell fold expansion in our cultures. Panel A shows the IMDM  
128 cultures. Some cultures showed a death phase of 5 days; subsequently most control cultures  
129 showed continuous growth reaching a maximum cell concentration between days 5 and 13  
130 ranging from 2.44 to 5.30-folds, with a mean of  $3.30 \pm 0.97$  folds at day 13 of culture.

131 However, in the RB cultures each sample showed a unique behavior indicating even a  
132 decrease on total cells during the experiment ranging from 0.47 to 5.40 fold-expansion in  
133 different days of culture, with a mean of  $1.85 \pm 0.51$  folds at day 13 of culture. Both static  
134 and RB cultures showed the same tendency, at days, 3, 5 and 7 the growth was increasing  
135 equivalently in both systems, and by days 10 and 13 we observed the same trend to increase  
136 total cell numbers but in the static controls at a major extent.

137 Fig 2B shows the total cell fold expansion in the Stem Pro cultures. The maximum  
138 expansion ranged from 0.5 to 2.14 folds in the controls and from 0.35 to 5.66 in RB on  
139 different days for each sample. For this medium, the growth was comparable for both  
140 systems from days 0 to 7, with a slightly higher growth for the static cultures. For days 10  
141 and 13 we observed a huge variability on the total cell numbers. The mean maximum fold  
142 expansion, achieved at day 13 of culture, was  $1.18 \pm 0.23$  and  $1.17 \pm 1.06$  for the static and  
143 RB cultures respectively.

144 Total cell fold expansion achieved in L-15 cultures is shown in Fig. 2C. The maximum  
145 expansion attained ranged from 0.67 to 3.3 and from 0.75 to 2.50 folds for the static and  
146 RB cultures respectively, in different days depending on the samples. The growth in both  
147 static and RB cultures provided comparable results of total cells for the same samples. For  
148 this medium we observed the maximum growth between days and 7 and 10 of culture, but  
149 the total increase on cells was lower than for the other two media. The maximum fold  
150 expansion in L-15 cultures was  $1.35 \pm 0.49$  and  $1.31 \pm 0.39$  for the static and RB cultures  
151 respectively. Comparing panels A and C, the control IMDM cultures showed a higher  
152 average total cell expansion than the L-15 controls at days 10 and 13, but for days 3 to 7,  
153 both systems showed approximately the same fold expansion in IMDM and L-15 media.



154 The Stem Pro cultures had a lower total cell expansion from day 3 to day 13 in both static  
155 and RB systems compared to the IMDM cultures.

156

#### 157 *Expansion of total hematopoietic progenitors*

158 The progenitor expansion achieved in static and RB cultures in IMDM is shown in figure  
159 3A. For RB cultures, we found a mean colony forming cell (CFC) fold expansion of  
160  $14.44 \pm 3.74$ ,  $16.87 \pm 5.30$  and  $9.16 \pm 4.15$  at days 5, 10 and 13 respectively; meanwhile the  
161 static controls achieved  $10.10 \pm 2.58$ ,  $14.02 \pm 3.21$  and  $10.96 \pm 4.10$  respectively at the same  
162 days. Progenitor expansion was observed in this work as early as day 3 of culture (data not  
163 shown) despite the fact that the cultures showed a total cell decrease. Confirming these, at  
164 day 5, even when the total cell numbers was still lower than the initial, the progenitors in  
165 RB cultures were already expanded.

166 The mean CFC fold expansion for the samples cultured in Stem Pro is shown in figure 3B;  
167 in these cultures we achieved an  $11.20 \pm 6.37$  CFC-fold expansion on day 5 in RB cultures,  
168 whereas for the static control the expansion was  $7.74 \pm 1.67$ . However, for days 10 and 13,  
169 the progenitor expansion achieved in RB was almost a half of the static controls. Fig. 3C  
170 shows the total progenitor fold expansion attained in L-15 cultures of different samples. On  
171 day 5, the mean total progenitor fold expansion in RB was  $17.25 \pm 3.65$ , on day 10 it was  
172  $17.35 \pm 8.81$  and on day 13 it remained the same with an  $18.39 \pm 9.49$  fold expansion.

173

#### 174 *Fed-batch cultures using roller bottles*

175 We also performed 15 days fed-batch cultures in IMDM, providing the cultures with fresh  
176 media and cytokines in order to support a longer expansion. Fig 4 shows the mean  
177 progenitor fold expansion achieved in two fed-batch cultures of the same sample. Feeding

178 the culture on day 3, resulted in a progenitor fold expansion increase from 17.84 to 27.47  
179 on day 5, and from 0.28 to 19.99 on day 13 but on day 10 it decreased from 24.43 to 18.17.  
180 Feeding the culture on day 7 did not increase total progenitor expansion in any of the days  
181 tested. The static control cultures showed the same behavior than the RB (data not shown).

182

## 183 **DISCUSSION**

184 RB-cultures in all media tested allowed a 15-20 total progenitor fold expansion on day 5 of  
185 culture. Progenitor expansion may be affected by the individual variation since samples  
186 were not related at all. IMDM is an improved synthetic medium created for rapidly  
187 multiplying cell cultures. This medium, supplemented with different amounts of FBS and  
188 cytokines has been widely used for the culture and expansion of HHSC [7, 10, 16, 20]  
189 showing good results for CFC expansion in most experiments. We used IMDM for our RB  
190 system, obtaining expansion results comparable to other cell culture strategies (table 1).  
191 Our RB mimic the expansion found in the controls, but they have the advantage of being  
192 able to support larger volumes.

193 We also tested two other media: Stem Pro and L-15. Stem Pro is a serum free medium  
194 created to support the growth of CD34<sup>+</sup> hematopoietic cells and total cells in static cultures  
195 of bone marrow CD34<sup>+</sup> hematopoietic cells [21]. The use of Stem Pro may reduce the risk  
196 of immune reactions and infection due to serum [13]. However, Stem Pro-RB cultures  
197 showed reduced total cell growth and progenitor expansion in most of our experiments. L-  
198 15 medium is buffered by phosphates and free-base amino acids instead of the sodium  
199 bicarbonate system used by IMDM. It can be used in non-sealed containers as our RB. We  
200 found that IMDM and L-15 cultures reached similar progenitor expansion on day 5, but L-

201 15 permitted the longest total CFC expansion, it remained around 17 times, for all the days  
202 tested; therefore it allows a higher and longer CFC expansion than IMDM.

203

204 Commercial spinner flasks bioreactors are available to expand HHSC; for example the  
205 Dideco Pluricell system with a limit volume of 38 ml achieved a maximum mean  
206 expansion of 230 fold in MNC and 21 fold in CD34<sup>+</sup> cells. A lower agitation rate would  
207 minimize shear stress. For instance, rotating wall vessel bioreactors have been used up to 6  
208 rpm to culture HHSC, achieving 21.7±4.9 fold progenitor expansion [15]. It is important to  
209 develop new methodologies to culture HHSC which are easy to perform, safe and do not  
210 promote progenitor cells differentiation. This is the first report on expansion of HHSC-  
211 UCB in roller bottles. We showed that roller bottles are suitable for the expansion of  
212 hematopoietic progenitors since they had a slightly higher total cell expansion than the  
213 static cultures, and they allowed progenitor expansion to a greater extent. We used 1 ml  
214 control cultures because it has been demonstrated that T-flasks with larger volumes do not  
215 show significant cell expansion [15]. We attained total cell expansions comparable to those  
216 reported using Spinner flask or stirred bioreactors [16-18], but below the cell expansion  
217 attained in Pluricel system or other RB-like devices [10, 19]. RB can be an alternative to  
218 the use of culture bags, where up 31-fold CFC expansion has been attained, but the reduced  
219 volume and the nutrient recharge and oxygen made difficult the scaling up [14].

220

221 In this work, we demonstrated that Roller Bottle short-term UCB-MNC cultures allow  
222 progenitor expansion up to 18.39 times in L-15 medium. Roller Bottles are suitable to  
223 culture MNC from human umbilical cord blood in all media tested in this work *e.g.* L-15,  
224 Stem Pro and IMDM. We demonstrated that L-15 medium is a good choice to culture

225 HHSC and it does not require CO<sub>2</sub> control. Roller bottles without CO<sub>2</sub> atmosphere are  
226 simple to operate, have low requirements of cytokines, and favor HHSC expansion. The L-  
227 15 RB cultures would be easily scalable, and therefore they could have a great potential for  
228 clinical applications. Nevertheless, expanded progenitors must be evaluated for safety,  
229 engraftment and utility in transplants.

230

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235

### 236 **AUTHOR DISCLOSURE STATEMENT**

237 No competing financial interests exist.

238

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300

301 **FIGURE AND TABLE LEGENDS**

302

303 **Table 1. Comparison of different protocols for the expansion of HHSC.**

304

305 **Figure 1. Typical growth kinetics of human hematopoietic cells from umbilical cord**  
306 **blood in static and roller bottles. A) Cultures in IMDM B) cultures in Stem**  
307 **Pro medium C) Cultures in L-15 medium.**

308 **Figure 2. Maximum total cell expansion in static and roller bottles. A) Cultures in**  
309 **IMDM. B) Cultures in Stem Pro medium C) Cultures in L-15 medium.**

310 **Figure 3. Total Progenitor Expansion in static and roller bottles. A) Cultures in**  
311 **IMDM. B) Cultures in Stem Pro medium C) Cultures in L-15 medium.**

312 **Figure 4. Progenitor expansion in fed batch culture of mononuclear stem cells.**